

1     **The impact of the North Atlantic Oscillation on climate through its influence on the Atlantic**  
2                                    **Meridional Overturning Circulation**

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13                                   Accepted in *Journal of Climate*

14  
15                                   November 9, 2015

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31

32 **Abstract**

33

34 **The impact of the North Atlantic Oscillation (NAO) on the Atlantic Meridional Overturning**

35 **Circulation (AMOC) and large-scale climate is assessed using simulations with three different**

36 **climate models. Perturbation experiments are conducted in which a pattern of anomalous heat**

37 **flux corresponding to the NAO is added to the model ocean. Differences between the**

38 **perturbation experiments and a control illustrate how the model ocean and climate system**

39 **respond to the NAO. A positive phase of the NAO strengthens the AMOC by extracting heat from**

40 **the subpolar gyre, thereby increasing deepwater formation, horizontal density gradients, and**

41 **the AMOC. The flux forcings have the spatial structure of the observed NAO, but the amplitude**

42 **of the forcing varies in time with distinct periods varying from 2 to 100 years. The response of**

43 **the AMOC to NAO variations is small at short time scales, but increases up to the dominant time**

44 **scale of internal AMOC variability (20-30 years for the models used). The amplitude of the**

45 **AMOC response, and associated oceanic heat transport, is approximately constant as the**

46 **timescale of the forcing is increased further. In contrast, the response of other properties, such**

47 **as hemispheric temperature or Arctic sea ice, continues to increase as the time scale of the**

48 **forcing becomes progressively longer. The larger response is associated with the time integral**

49 **of the anomalous oceanic heat transport at longer time scales, combined with an increased**

50 **impact of radiative feedback processes. We show that NAO fluctuations, similar in amplitude to**

51 **those observed over the last century, can modulate hemispheric temperature by several**

52 **tenths of a degree.**

## 53 **1. Introduction**

54 One of the central challenges in climate research is to increase our ability to quantify the role of  
55 natural variability and anthropogenic forcing in observed climate change (Bindoff et al. 2013). We  
56 seek to quantify what fraction of observed changes in the climate system has come from  
57 anthropogenic and natural radiative forcing changes, and what fraction from internal variability. A  
58 key goal is therefore to improve our understanding of the mechanisms of natural climate variability,  
59 particularly on decadal and longer timescales.

60

61 Two important phenomena associated with climate variability are the North Atlantic Oscillation  
62 (NAO) and the Atlantic Meridional Overturning Circulation (AMOC). These two phenomena have been  
63 associated with a wide range of climate variations across the Northern Hemisphere on a variety of  
64 time scales (Hurrell 1995, 1996; Hurrell and Deser 2009; Trigo et al. 2002). The NAO is primarily an  
65 atmospheric phenomenon, characterized by sub-seasonal to multidecadal variations in storm tracks  
66 over the North Atlantic sector (Gerber and Vallis 2009). Extensive previous work has shown that  
67 atmospheric circulation changes associated with the NAO drive an array of climate variations over  
68 North America and Europe (Scaife et al. 2008; Cullen et al. 2002; Trigo et al. 2002). These variations  
69 are clearly linked with changes in large-scale atmospheric circulation and corresponding  
70 precipitation and temperature anomalies.

71

72 The AMOC consists of a northward flow of relatively warm, salty water in the upper layers of the  
73 Atlantic, and a southward return flow at depth (Kuhlbrodt et al. 2007). The associated release of heat  
74 to the atmosphere at higher latitudes of the North Atlantic has a major impact on climate and climate  
75 variability. A rich history of modeling studies (Delworth et al. 1993; Visbeck et al. 1998; Zhu and

76 Jungclaus 2008; Park and Latif 2008; Biastoch et al. 2008; Vellinga and Wu 2004; Frankcombe et al.  
77 2010; Danabasoglu et al. 2012a; Medhaug et al. 2012; Menary et al. 2012; Kwon and Frankignoul  
78 2012; Tulloch and Marshall 2012; Yeager and Danabasoglu 2012) has shown that the AMOC can have  
79 substantial variability on decadal to centennial time scales. In addition, the AMOC can have a  
80 significant influence on climate on many time scales (Delworth and Mann 2000; Knight et al. 2005;  
81 Frierson et al. 2013; Frankignoul et al. 2013). Variations in the AMOC are viewed as an important  
82 driver of the observed Atlantic Multidecadal Oscillation, which in turn influences hemispheric climate  
83 (Zhang et al. 2007; Chylek et al. 2009; Sutton and Dong 2012; Li et al. 2013; Steinman et al. 2015).

84  
85 Previous modeling work has shown a clear connection between the NAO and the AMOC (Visbeck et al.  
86 1998; Delworth and Greatbatch 2000; Delworth and Dixon 2000; Eden and Jung 2001). Variations in  
87 the NAO have been hypothesized to play a role in AMOC variations by modifying air-sea fluxes of heat,  
88 water, and momentum. These flux variations alter vertical and horizontal density gradients in the  
89 subpolar North Atlantic, thereby inducing changes to deepwater formation and the AMOC.

90  
91 In this study we examine the connections between NAO variations, the AMOC, and larger scale climate  
92 through the use of large suites of climate model simulations. We design experiments to specifically  
93 examine how variations in surface fluxes associated with the NAO can induce AMOC variations, and  
94 how these AMOC variations in turn influence large-scale climate. We adopt an idealized modeling  
95 framework that allows us to examine how different timescales of NAO variations influence the AMOC  
96 and the larger climate system. Our methodology involves the use of simulations in which we  
97 artificially impose NAO-like fluxes on the model ocean, and assess the response of the model ocean  
98 and larger-scale climate to these NAO-like variations. Recent work has suggested that multidecadal

99 NAO variations can be used as a predictor of Northern Hemispheric temperature (Li et al. 2013), and  
100 in this study we conduct simulations that provide a physically based underpinning for that  
101 connection.

102

## 103 **2. Models and experimental design**

### 104 **a. Models**

105 We use three versions of GFDL climate models in this study. The first is the GFDL CM2.1 model  
106 (Delworth et al. 2006). This is a fully coupled ocean-atmosphere model, with land and atmospheric  
107 resolution of approximately 200 km in the horizontal, and 24 vertical levels in the atmosphere. The  
108 ocean component has horizontal resolution of approximately 100 km, with finer resolution in the  
109 tropics, and 50 levels in the vertical. This model has been used in a wide variety of studies of climate  
110 variability, predictability and change, and extensive model output from past studies is available at  
111 <http://nomads.gfdl.noaa.gov/CM2.X/> and <http://nomads.gfdl.noaa.gov:8080/DataPortal/cmip5.jsp>.  
112 The second model used is called CM2.5\_FLOR (Vecchi et al. 2014a), referred to here as "FLOR", where  
113 "FLOR" stands for Forecast oriented Low Ocean Resolution. This model uses atmospheric physics that  
114 are very similar to CM2.1, but has a higher spatial resolution in the atmosphere as well as a much  
115 improved land model (LM3) (Milly et al. 2014). The horizontal resolution of the atmosphere and land  
116 model is approximately 50 km (versus 200 km in CM2.1). The number of vertical levels in the  
117 atmosphere has increased from 24 in CM2.1 to 32 in FLOR. The ocean component of FLOR is similar to  
118 that in CM2.1. The third model used is CM3 (Donner et al. 2011; Griffies et al. 2011). This has a similar  
119 horizontal spatial resolution in the atmosphere as CM2.1, but has substantially increased vertical  
120 resolution (48 layers), as well as including representations of the indirect effect of aerosols and

121 interactive chemistry. As in the other two models, the horizontal resolution of the ocean component is  
122 approximately 1°.

123  
124 Millennial-scale control simulations have been conducted with each model using radiative forcing  
125 conditions representative of “preindustrial” conditions, corresponding to approximately calendar  
126 year 1860.

127

## 128 **b. Experimental design**

129 We wish to assess how the model ocean responds to idealized variations in the NAO, and then alters  
130 the rest of the climate system. In particular, we wish to study the response of the AMOC to idealized  
131 NAO variations, and how those changes in the AMOC impact the rest of the climate system. We do this  
132 by designing simulations in which the model ocean “feels” an arbitrary phase of the NAO. In these  
133 simulations we intervene in the model whenever the model atmosphere and ocean exchange fluxes  
134 (described in detail below). As a result, the model ocean reacts to arbitrarily imposed NAO flux  
135 anomalies. By comparing the differences between ensembles of simulations with and without this  
136 artificial NAO forcing, we can assess how the AMOC and model climate system responds to the NAO.

137

138 We first create patterns of flux forcing that correspond to the NAO. We start with time series of  
139 monthly mean surface fluxes (heat, water, momentum) from the ECMWF-Interim reanalysis (Dee et  
140 al. 2011), as well as the time series of the observed NAO using a station-based index (downloaded  
141 from the NCAR/UCAR climate data guide at [https://climatedataguide.ucar.edu/climate-data/hurrell-](https://climatedataguide.ucar.edu/climate-data/hurrell-north-atlantic-oscillation-nao-index-station-based)  
142 [north-atlantic-oscillation-nao-index-station-based](https://climatedataguide.ucar.edu/climate-data/hurrell-north-atlantic-oscillation-nao-index-station-based)); the NAO index is defined as the difference  
143 between a normalized time series of SLP from Lisbon, Portugal and a normalized time series of SLP

144 from Reykjavik, Iceland, using seasonal means December-March). We then create 4-month averages  
145 from the ECMWF-interim reanalysis data over the December-March period. We compute the linear  
146 regression coefficients at each grid point between the time series of the reanalysis fluxes (heat, water,  
147 and momentum) and the NAO. In Figure 1 we show the regression map for surface heat flux  
148 anomalies, indicating the pattern of surface heat flux change accompanying a one standard deviation  
149 increase in the NAO. For use as described below, we scale the ECWMF-derived regression coefficients  
150 for the flux fields by one standard deviation of the NAO index time series. We use the flux forcing only  
151 over the Atlantic from the Equator to 82°N, including the Barents and Nordic Seas. We adjust the  
152 fluxes so that their areal integral is zero. In this manner, the imposed heat fluxes do not provide a net  
153 heating or cooling to the system.

154  
155 The coupled models normally compute air-sea fluxes of heat, water and momentum that depend on  
156 the gradients in these quantities across the air-sea interface. In our perturbation experiments this  
157 process continues, but after these fluxes are calculated we add an additional flux component to the  
158 model ocean. The model ocean therefore receives the fluxes that are computed based on air-sea  
159 gradients, plus an extra flux that corresponds to a specified phase of the NAO. We have conducted  
160 simulations with additions of heat, water, and momentum fluxes. However, sensitivity studies have  
161 shown that for this model the heat flux anomalies dominate the response, and so we show results  
162 from simulations that are driven only by adding anomalous heat fluxes.

163  
164 We create time series of NAO-derived fluxes that have idealized variations in time. In one set of  
165 experiments, referred to as “switch-on”, we suddenly turn on the extra NAO flux forcing at an  
166 arbitrary point in the control simulation, and leave these extra fluxes on with a constant amplitude

167 corresponding to one standard deviation of the observed NAO time series. These experiments  
168 elucidate the adjustment process of the climate system in response to an instantaneous imposition of  
169 the extra NAO fluxes. We also conduct suites of experiments in which we add NAO fluxes whose  
170 amplitude is modulated sinusoidally in time with a single time scale. We conduct separate ensembles  
171 of simulations in which the NAO is modulated with periods of 2, 5, 10, 20, 50, and 100 years. The  
172 amplitude of the extra NAO forcing time series corresponds to one standard deviation of the observed  
173 NAO time series. We note that these are highly idealized sequences of NAO forcing that allow us to  
174 systematically examine the response of the ocean to various timescales of NAO forcing, and are not  
175 meant to represent the observed NAO time series. In all experiments the NAO forcing is applied only  
176 in the months of December through March, with a constant value for that period, and a linear taper at  
177 the start and end of this period. This is the primary season of oceanic convection and deepwater  
178 formation at higher latitudes of the North Atlantic.

179  
180 Analyses of the spectral characteristics of the observed NAO suggest that it is fairly similar to white  
181 noise, with variance on all time scales, but with some suggestion of spectral peaks around 2 years and  
182 7-10 years(Gámiz-Fortis 2002), as well as multidecadal variability(Li et al. 2013). However, the  
183 shortness of the instrumental record means that the robustness of the peaks is questionable,  
184 especially at longer time scales (Wunsch 1999). Our simulations are designed to probe the response  
185 of the climate system to idealized NAO forcing across a wide range of time scales, consistent with a  
186 white noise process that contains variance at all time scales.

187  
188 In order to more clearly identify the response to the NAO, all experiments are conducted as  
189 ensembles. For the CM2.1 simulations we use ten-member ensembles. The ten-members start from 10



190 different points in a long control simulation, with each of the points separated by 40 years. This  
191 separation is longer than the dominant time scale of AMOC internal variability in the model in order  
192 to reduce the likelihood of aliasing effects. For analysis we examine the ensemble mean of the  
193 perturbed experiments, and contrast that with the ensemble mean of the corresponding sections of  
194 the control simulation. For FLOR and CM3 we use five-member ensembles, primarily because of the  
195 greater computational expense associated with the higher resolution models.

196

197 In our experimental design we can impose NAO-related fluxes of heat, water, or momentum.  
198 Preliminary simulations (not shown) have indicated that variations in heat flux have a dominant  
199 impact on the AMOC in these models for the decadal-scale variability being examined. Therefore, in  
200 subsequent experiments we only impose NAO-related changes in heat flux. However, it is possible  
201 that the ocean model used here does not respond as energetically to momentum fluxes as it should,  
202 possibly as a consequence of its relatively coarse resolution. Additional studies with higher resolution  
203 models that produce more energetic flow should reassess this issue. This is particularly relevant in  
204 light of the impact of observed wind stress anomalies for AMOC variability on interannual time scales  
205 (Roberts et al. 2013).

206

207 We have conducted simulations using NAO-related surface fluxes as defined both from the ECMWF-  
208 Interim reanalysis, and as evaluated from a long control integration of the CM2.1 model (pattern  
209 shown in Fig. 1b). Since the results were fairly similar when using either set of fluxes to construct the  
210 anomaly forcing, we show here only results using the reanalysis forcing. An additional benefit is that  
211 such forcings could also be used in similar experiments with other models.

212

### 213 **3. Simulated AMOC: mean and variability**

214 We show in Figure 2 the time-mean streamfunction from control simulations of the three models. All  
215 show a robust AMOC, with substantial cross-equatorial flow. The AMOC is largest in the CM2.1 model.  
216 For each model we compute an index of the AMOC as the maximum value of the annual mean  
217 overturning streamfunction between 20°N and 65°N in the North Atlantic. We form time series of the  
218 AMOC index for each model. We show the time series and their spectra in the bottom two panels of  
219 Figure 2. All the spectra are characterized by significant peaks on interdecadal time scales. The peak  
220 in CM2.1 occurs around 15-20 years, around 26-30 years in FLOR, and 30 years in CM3. By  
221 performing identical experiments in models with differing AMOC characteristics we hope to gain  
222 some measure of the robustness of results. We do not attempt in this study to identify the factors  
223 responsible for the differing AMOC characteristics in these models.

224

### 225 **4. Response to “switch-on” of NAO forcing**

226 As a first test we use CM2.1 to simulate the response of the AMOC and climate system to suddenly  
227 turning on and maintaining an anomalous positive NAO flux forcing whose amplitude corresponds to  
228 one standard deviation of the NAO time series. Shown in Figure 3 is the time series of the response of  
229 the AMOC at 45°N to the NAO heat flux forcing. This is computed as the ten-member ensemble mean  
230 AMOC in the NAO flux forcing run minus the ten-member ensemble mean AMOC from the  
231 corresponding control simulation with no externally imposed NAO forcing. The simulated ensemble-  
232 mean AMOC adjusts over a decadal scale, increasing in amplitude by several Sverdrups (Sv;  $1 \text{ Sv} = 10^6$   
233  $\text{m}^3\text{s}^{-1}$ ). Physically, the NAO related fluxes extract heat from the subpolar gyre and Labrador Seas. This  
234 increases near-surface density, mixed layer depths, the rate of deepwater formation, and zonal upper  
235 ocean density gradients across the North Atlantic in the latitude range of 45°N to 75°N. These factors

236 tend to enhance the AMOC (Danabasoglu et al. 2012b). Note also that the AMOC response is not  
237 steady, but varies, with relative maxima around years 10-13 and 25-28. The time scale of these  
238 variations is similar to the time scale of internal variability in CM2.1 (15-20 years as shown in in  
239 Figure 2). This similarity of time scales suggests that some of the processes important for the  
240 adjustment of the AMOC to this imposed forcing may also be involved in the mechanisms of the  
241 dominant timescale of AMOC variability in this model. The response of the model to a switch on of  
242 NAO-related fluxes may be a useful way to assess the internal variability timescale of a model, and to  
243 delineate some of the important processes that control that timescale. This would be most relevant  
244 for models in which the NAO plays a dominant role for ocean decadal-scale variability, and less  
245 relevant for models in which other patterns of atmospheric variability, such as the East Atlantic  
246 Pattern, are dominant for ocean decadal variability.

247  
248 We show the spatial structure of this adjustment process in more detail in Figure 4. This contains the  
249 climatological mean of several quantities in the top row from a long control simulation. Subsequent  
250 rows show the time-evolving response of those quantities to the imposed NAO forcing, calculated as  
251 the ensemble mean of the simulations with the NAO forcing minus the ensemble mean of the  
252 simulations without the NAO forcing. Each row from the second row to the bottom denotes a later  
253 time after the imposition of the NAO fluxes. The sequence of panels show the adjustment of mixed  
254 layer depth, AMOC, northward ocean heat transport, SST, and SSS to the additional NAO flux. The  
255 imposed anomalous NAO heat flux in the subpolar gyre and Labrador Sea (see Figure 1) leads to near-  
256 surface cooling and increased mixed layer depths (see the results in the second row for Lag 3,  
257 corresponding to 3 years after the imposition of the NAO fluxes). Convection is enhanced along with a  
258 slight strengthening of the AMOC. As we move to larger time lags (successively lower rows in the

259 figure) the enhanced mixed layer depths are maintained, the AMOC continues to strengthen and the  
260 region of the enhanced AMOC expands southward. This enhanced AMOC increases ocean heat  
261 transport (middle column), leading to positive SST and SSS anomalies throughout the subpolar gyre  
262 and portions of the Nordic Seas. This tendency continues throughout the first decade.

263

264

## 265 **5. Sensitivity of impact to timescale of forcing**

### 266 **a. Hemispheric time series**

267 The “switch-on” experiment is useful in illustrating the overall response of the AMOC to NAO fluxes,  
268 but we can also probe this relationship by imposing NAO-related fluxes with well-defined timescales,  
269 and assessing how the AMOC responds to differing timescales of forcing. Specifically, we create time  
270 series of anomalous fluxes that have the spatial pattern of the NAO, but whose amplitude is  
271 modulated in time by a sine wave with arbitrary periods. We have conducted 10-member ensembles  
272 of such experiments with CM2.1 using periodicities of 2, 5, 10, 20, 50, and 100 years, and evaluated  
273 the AMOC and climate system response to these forcings. We show in Figure 5 time series of the  
274 AMOC for simulations with various timescales of NAO-related flux forcing. Also shown in each panel  
275 (red curve) is the AMOC time series that is calculated as an ensemble average from the ten  
276 corresponding segments of the control simulation. The simulations with shorter timescales of forcing  
277 are run for shorter durations. The top panel shows simulations with timescales of 2 and 5 years, in  
278 addition to the control. The NAO-induced variability of the AMOC is quite small, and is not  
279 distinguishable from the mean of the corresponding segments of the control. The middle panel shows  
280 results from forcings with periodicities of 10 and 20 years. There is a substantial increase in the  
281 response of the AMOC to the forcing, particularly for the 20-year timescale. The bottom panel shows

282 results from forcing at timescales of 50 and 100 years. The AMOC fluctuates at the timescale of the  
283 forcing, but the amplitude is similar to that at 20 years.

284  
285 We can characterize the response at each timescale by the standard deviation of the ensemble mean  
286 AMOC time series. Figure 6a shows the standard deviation of the AMOC as a function of the timescale  
287 of the forcing. It is clear that the response is small at short timescales of forcing and increases until  
288 reaching a timescale close to the characteristic internal time scale of the model AMOC variability (~20  
289 years). The amplitude of the AMOC response does not substantially vary as we further increase the  
290 timescale of the forcing. The largest response at a timescale of 20 years may be indicative of a  
291 resonant response of the system when forced at the preferred timescale of variability. We show in Fig.  
292 6b the same quantity for ocean heat transport at 23°N summed over all longitudes, and note very  
293 similar behavior (the response in the Pacific is small, so we obtain essentially the same result if we  
294 compute ocean heat transport only in the Atlantic).

295  
296 We expect that variations in the AMOC and oceanic heat transport may influence extratropical  
297 Northern Hemisphere surface air temperature (NHSAT) and Northern Hemisphere sea ice mean  
298 thickness (NHSI). NHSAT is computed by averaging annual mean surface air temperature for all  
299 model points poleward of 23°N, and NHSI is calculated by averaging annual mean sea ice thickness  
300 poleward of 55°N. We show in Figures 6c and 6d the amplitudes of variations of NHSAT and NHSI. We  
301 note that, as was the case with the AMOC and heat transport, variations are small at short time scales  
302 and increase up to 20 years. However, in contrast to the AMOC, the amplitude of NHSAT and NHSI  
303 variations continues to increase with the timescale of the forcing, such that the amplitude of the  
304 response for NHSI at a 100 year forcing timescale is two to three times the amplitude of the response

305 for forcing at 20 years. Why is there a continued increase in the amplitude of the NHSAT and NHSI  
306 variations when the amplitudes of the AMOC and oceanic heat transport variations are approximately  
307 constant for timescales longer than 20 years? There are multiple contributing factors. First, the time  
308 integral of the ocean heat transport anomalies is important for the climate response; this time integral  
309 is approximately three times larger for the 100-year forcing than for the 20-year forcing, leading to a  
310 larger response. In addition, in response to a warming of the climate system there is reduced snow  
311 cover and sea ice, thereby leading to a positive albedo feedback. This amplifies the signal at longer  
312 time scales, which is already larger due to the larger time-integral of the heat transport changes.  
313 Shown in Figures 6e and 6f are the amplitudes of the variations of the air-sea heat flux and the net  
314 upward shortwave radiation at the top of the atmosphere (both quantities are averaged from 23°N to  
315 90°N). The amplitude of the variations of these terms continues to grow for timescales longer than 20  
316 years, indicating these play an increasingly important role at longer timescales.

317

318 To more clearly illustrate these relationships we show in Figure 7 the time series of various quantities  
319 for two sets of simulations with NAO forcing timescales of 20 years (black curves) and 100 years (red  
320 curves). The responses of the AMOC and ocean heat transport have similar amplitudes for the two  
321 timescales of forcing, consistent with Figure 6.

322

323 The larger response of surface air temperature for the 100-year timescale forcing relative to the 20-  
324 year timescale forcing is apparent in Fig. 7c. The amplitude of the response is significantly larger, and  
325 the variance of the temperature response is approximately three times larger for the 100-year forcing  
326 than for the 20-year forcing. Shown in Fig. 7d are similar curves for Arctic mean sea ice thickness; the  
327 variance increases by more than a factor of 3 between the 20-year forcing and the 100-year forcing,

328 despite similar (or even smaller, see Fig. 6a and 6b) amplitudes of AMOC and oceanic heat transport  
329 variations at 100 years relative to 20 years. These results suggest that the climatic relevance of NAO-  
330 induced AMOC variations increases substantially with timescale. The larger amplitude of the  
331 temperature response at longer timescales is at least partially attributable to the greater role of  
332 feedbacks in the system. At high latitudes the cryosphere responds to the warming or cooling induced  
333 by AMOC variations, and these cryospheric changes in turn influence the amount of shortwave  
334 radiation reflected to space, acting as a positive feedback on the system. The time series of anomalies  
335 of upward shortwave radiation at the top of the atmosphere are shown in Fig. 7e – the variance  
336 increase by a factor of 1.5 from the 20-year forcing to the 100-year forcing. This positive albedo  
337 feedback is more effective at longer timescales as progressively more of the cryosphere is altered by  
338 the NAO-induced AMOC changes, and therefore participates in the positive feedback. We also show  
339 the time series of average air-sea heat flux poleward of 23°N in Fig. 7f. The variance of the air-sea heat  
340 flux time series also increases in the 100-year forcing case relative to the 20-year forcing case by a  
341 factor of 2. As the amount of sea ice decreases, more open ocean is available to flux heat more  
342 effectively from the ocean to the atmosphere; since the sea ice extent is more powerfully impacted on  
343 longer timescales, this air-sea heat flux term is also stronger for longer time scales. However, this  
344 term is somewhat limited by the total anomalous heat transport in the ocean.

345

346 The above suggest that NAO-induced changes in the AMOC create changes in ocean heat transport  
347 that drive hemispheric scale variations in surface air temperature and sea ice. In addition, the effect  
348 becomes much stronger at long time scales due to the greater time-integral of the ocean heat  
349 transport changes and feedback processes associated with changes in snow cover and sea ice.

350

351

## 352 **b. Heat budget diagnostics**

353 We next examine in Figure 8 the changes in oceanic and atmospheric heat transport, as well as  
354 changes in the top of the atmosphere radiation balance, generated by the simulations with 100-year  
355 NAO flux forcing using CM2.1 (results from the 50-year forcing simulations are similar). In Fig. 8a we  
356 plot the linear regression coefficients of the time series of the NAO forcing with itself at various lags;  
357 this provides a visual perspective for interpreting the phasing of the changes shown in Figs. 8b and  
358 8c. We show in Figure 8b the linear regression coefficients of poleward oceanic heat transport at 50°N  
359 (integrated over all depths) versus the NAO flux forcing time series at various lags (where negative  
360 lags refer to times before a maximum of the NAO forcing). We find (not shown) that variations in  
361 Pacific transport are extremely small compared to changes in Atlantic heat transport, and so we show  
362 only changes in Atlantic heat transport. The ocean heat transport variations are in phase with the  
363 NAO flux forcing. We also show that changes in poleward atmospheric heat transport are smaller in  
364 amplitude and opposite in sign to the ocean heat transport changes, consistent with the idea of  
365 Bjerknes compensation (Bjerknes 1964; Shaffrey and Sutton 2006; Yang et al. 2013). The atmospheric  
366 heat transport changes act as a negative feedback on the system. Enhanced (reduced) ocean heat  
367 transport warms (cools) the higher latitudes, thereby reducing (increasing) the meridional  
368 temperature gradient in the atmosphere and decreasing (increasing) the poleward atmospheric heat  
369 transport.

370

371 We next decompose the ocean heat transport variations into gyre and overturning components,  
372 calculated using monthly data at each model level as:

$$373 \quad m C_p VT = m C_p (v'T + \bar{V}T) \quad (1)$$



374 where  $m$  denotes the mass of water,  $C_p$  is the heat capacity of sea water,  $V$  denotes meridional velocity  
375 in the ocean,  $T$  is ocean temperature, the overbar denotes the zonal mean across the Atlantic, and the  
376 prime denotes the deviation from that zonal mean. We calculate linear regressions between these  
377 heat transport components and the NAO forcing, and show these in Fig 8b. The overturning  
378 component (second term on right side of (1), labeled as "moc" on the figure) dominates the total heat  
379 transport changes, but there is still a significant role for gyre variations (first term on right side of  
380 (1)). The gyre changes appear to lead the overturning changes.

381  
382 In Fig. 8c we show the regression coefficients of changes in the top of the atmosphere radiation  
383 balance versus the NAO forcing (where the radiation terms are calculated as the integral for all points  
384 poleward of  $50^\circ\text{N}$ ). The net radiation at the top of the atmosphere lags the ocean heat transport, and  
385 is a negative feedback. Variations in outgoing longwave radiation and net shortwave radiation  
386 (net<sub>sw</sub>) are also shown. It is clear that enhanced (decreased) ocean heat transport warms (cools) the  
387 high latitudes which then emit more (less) longwave radiation to space. This dominates the radiation  
388 variations. However, the net<sub>sw</sub> term acts as a positive feedback. Physically, warming (cooling) of the  
389 higher latitude regions reduces (increases) the amount of sea ice and snow cover, thereby reducing  
390 (increasing) albedo and increasing (decreasing) the amount of shortwave radiation absorbed in the  
391 system. This shortwave feedback opposes the damping by longwave radiation, and therefore helps to  
392 amplify the warming signal. This is more pronounced at longer time scales of forcing.

393  
394 The larger response at longer time scales is partially attributable to greater amplification from  
395 shortwave radiation forcing. In addition, the time integral of the ocean heat transport is considerably  
396 larger for the 100 year NAO forcing than for shorter time scales, since the anomalous ocean heat

397 transport is maintained for a longer period. The time integral of the anomalous poleward oceanic heat  
398 transport reaches a maximum of  $2.31 \times 10^{22}$  J for the 20-year NAO forcing versus a maximum of  
399  $6.62 \times 10^{22}$  J for the 100-year NAO forcing. This substantially larger time integral of heating translates  
400 into considerably larger climatic impacts, as shown in Figure 6 and below.

401

402

### 403 **c. Spatial patterns of response**

404 We show in Figures 9-13 the spatial patterns of the responses to the NAO-induced AMOC variations.  
405 The responses are computed as the linear regression of the time series of the response for each  
406 variable (forced experiment minus control) versus the time series of anomalous NAO flux forcing, and  
407 are scaled such that the values shown represent the response to a two standard deviation NAO forcing  
408 (meant to illustrate the difference between a one standard deviation positive NAO phase and a one  
409 standard deviation negative NAO). We note that, for simplicity, we are using a fixed spatial pattern of  
410 the NAO, whereas in reality this pattern changes in time. The responses for the January-March (JFM)  
411 season for both timescales of forcing are in Figures 9 and 10, and for July-September (JAS) in Figure  
412 11 and 12. These months are chosen to emphasize responses in winter and summer sea ice as well as  
413 changes in precipitation and tropical atmospheric circulation of relevance for tropical cyclone  
414 development. We show maps corresponding to the lags of maximum response in extratropical mean  
415 surface air temperature.

416

417 The Northern Hemisphere (NH) cold season responses for the two timescales are shown in Figures 9  
418 and 10, and show a clear sensitivity to timescale. Surface air temperature changes (Fig. 9a and 9e)  
419 show warming at high latitudes, but the warming is larger and more extensive for the 100-year

420 forcing. In particular, the warming signal extends over most of the Eurasian continent in the 100-year  
421 forcing case, but is largely confined to oceanic regions of the North Atlantic and Arctic in the 20-year  
422 forcing case. The precipitation response is shown in panels b and f. There is a general increase in  
423 precipitation over the North Atlantic for both timescales in response to the generally warmer upper  
424 ocean. One exception is the notable decrease off the northeast coast of North America in the 20-year  
425 forcing case. This is associated with local cooling associated with a southward shift of the  
426 recirculation gyre (Zhang and Vallis 2007). This effect is muted at the 100-year timescale where the  
427 warming of the North Atlantic is more pervasive. The changes in sea level pressure (SLP) are shown  
428 in panels c and g. In both cases there is reduced SLP over the North Atlantic, but the larger scale  
429 structure over the Northern Hemisphere differs between the two cases. On the longer time scale there  
430 is a tendency for reduced SLP over the entire regions of the North Atlantic and the Arctic, whereas  
431 there is a more structured pattern at the shorter time scale. In the upper troposphere (panels d and  
432 h) there is a structure response at the shorter time scale of forcing, with regions of increases and  
433 decreases, but a more uniform increase in geopotential heights across the Northern Hemisphere at  
434 the longer time scale.

435 The sea ice changes for the JFM season are shown in Figure 10. For the 20-year forcing sea ice  
436 changes are confined to the Labrador and Nordic seas, but the changes extend over the entire Arctic  
437 for the 100-year forcing case.

438  
439 We show in Figure 11 results for the July-September (JAS) season. The contrasts in the response of  
440 surface air temperature and sea ice thickness between the two timescales of forcing is similar to the  
441 winter season. The summer precipitation response is shown in panels b and g. Consistent with  
442 previous work the stronger AMOC leads to a northward migration of the Intertropical Convergence

443 Zone (ITCZ) and associated rainfall, particularly over the African/Atlantic sector (Vellinga and Wu  
444 2004; Zhang and Delworth 2005). This effect is considerably stronger in response to the 100-year  
445 forcing. For sea level pressure there is more consistency in the responses between the timescales,  
446 with reduced slp over the North Atlantic and Arctic. This reduction in slp over the Atlantic is  
447 consistent with analyses of the instrumental record (Sutton and Hodson 2005). Changes in 300 hPa  
448 geopotential height are shown in panels d and i – the difference is striking. For the 20-year forcing  
449 there is a modest impact, but for the 100-year forcing there is a widespread increase of geopotential  
450 heights over the Northern Hemisphere, extending as far south as 40°S. These changes in geopotential  
451 height are consistent with the changes in the vertical shear of the zonal wind (hereafter referred to as  
452 shear), shown in panels e and j. There is a substantial reduction in shear over the tropical and  
453 subtropical North Atlantic in the 100-year forcing case, with a generally smaller overall impact for the  
454 20-year case. This suggests that NAO-induced AMOC changes would substantially influence tropical  
455 storm activity for the 100-year forcing case, although the model used for this study does not have  
456 sufficient resolution to explicitly simulate tropical storms.

457  
458 The sea ice response for JAS is shown in Figure 12. While there is very little impact for the 20-year  
459 forcing case, there is a pan-Arctic reduction in sea ice thickness for the 100-year forcing case.

460  
461 We show in Figure 13 the changes in sea surface height induced by the NAO forcing. As shown  
462 previously, the AMOC increases in response to positive NAO forcing; this is consistent with an  
463 enhanced zonal gradient in sea level height, with negative anomalies along the North American coast  
464 and positive anomalies in the mid-Atlantic. These results suggest that decadal scale variations in the  
465 NAO induce decadal-scale sea level changes (Goddard et al. 2015; McCarthy et al. 2015) along

466 portions of the eastern coast of North America, with short term trends in sea level change of up to 10  
467 cm per decade. Note that sea level heights along the east coast of North America tend to fall in  
468 response to a sustained positive NAO, and rise in response to a sustained negative NAO. This process  
469 can therefore contribute substantially to local decadal-scale sea level variations. Additional analyses  
470 show that the pattern of sea level height changes propagates to the southwest, more rapidly with the  
471 20-year NAO forcing than the 100-year NAO forcing. The amplitude of the sea level changes is similar  
472 for the 20-year and 100-year forcing cases, consistent with similar changes in the AMOC for the two  
473 forcing cases. In terms of rates of change in sea level, this implies that the 20-year forcing would have  
474 a much greater impact on decadal-scale changes in sea level than the 100-year forcing. Their spatial  
475 structures differ somewhat, with more of the changes extending through the Labrador Sea and into  
476 Baffin Bay for the 100-year forcing. Both patterns, however, are consistent with modulation of the  
477 zonal gradient of sea level consistent with AMOC variations.

478

## 479 **6. Sensitivity of impact to model and mean base state**

480 The previous sections examined how the response of the AMOC and larger-scale climate to NAO  
481 variations depends on the time scale of the NAO forcing using a single model (CM2.1). In this section  
482 we explore how the response to NAO forcing depends on the model's characteristics, including its  
483 mean state. We make use of two additional models, FLOR and CM3, as described in section 2a. For all  
484 three models we conduct “switch-on” experiments in which heat fluxes corresponding to a positive  
485 phase of the NAO are suddenly applied to the model ocean. These simulations are 60 years in  
486 duration, with the anomalous flux forcing held constant (but applied only in the months of December-  
487 March). Using this simple model design we wish to compare the AMOC and climatic responses among  
488 the three models. We conduct 10-member ensembles for CM2.1, and 5-member ensembles for FLOR

489 and CM3 (we use smaller ensembles for FLOR and CM3 due to the greater computational cost of the  
490 higher-resolution models).

491

492 We show in Figure 14 the AMOC and surface air temperature responses for the three models. All have  
493 an increase in AMOC and zonal mean surface air temperature in response to the switch on of the NAO  
494 forcing, but there are modest differences in the time scale and amplitude of the response. Part of this  
495 may be sampling issues, and part may be due to the differing physical characteristics of each model.

496

497 The overall amplitude of the temperature response is largest in FLOR, possibly related to the thicker  
498 and more extensive sea ice in the climatological mean state of FLOR (not shown), especially in the  
499 Labrador, Nordic and Barents seas. This offers the potential for greater albedo feedback and  
500 temperature response. While CM3 has the longest time scale of internal AMOC variability among the  
501 three models (see spectral analysis in Figure 2), it appears to respond the most rapidly to the switch  
502 on of the NAO forcing. In comparing CM2.1 and FLOR, the AMOC response appears to fluctuate on a  
503 longer time scale in FLOR compared to CM2.1, consistent with the longer time scale of internal  
504 variability in FLOR (see Figure 2). Despite the above differences, the overall characteristics of the  
505 responses are similar, suggesting some degree of robustness in the response.

506

507 We also compare the models in their response to a periodic NAO forcing. We select a timescale of 50  
508 years NAO forcing for the comparison. Based on the results of the previous section the response at 20-  
509 year forcing was somewhat muted and therefore not a good choice for the comparison. In addition,  
510 the computational cost of performing 100-year forcing experiments was substantial for the higher

511 resolution FLOR and CM3 models, so we choose to do the comparison at the intermediate time scale  
512 of 50 years.

513

514 We show in Figure 15 responses for the AMOC and surface air temperature (the ocean heat transport  
515 response is similar to the AMOC response). The results show broad similarities between the models,  
516 with differences in the details of the amplitude and characteristics of the response. The AMOC  
517 response is broadly similar between CM2.1 and FLOR, although the CM2.1 model response at this  
518 timescale is more limited in duration than in FLOR. The shorter internal timescale for the AMOC in  
519 CM2.1 suggests that negative feedbacks associated with the oscillatory behavior kick in more rapidly,  
520 limiting the response of the AMOC in each phase of the NAO forcing. The response in FLOR to the  
521 same forcing is more persistent, consistent with the longer timescale of internal variability. The  
522 surface air temperature results are consistent with the AMOC results, with perhaps somewhat more  
523 noise in the responses (compare the temperature response in CM2.1 and FLOR to their respective  
524 AMOC responses, and it is apparent that the temperature response has more noise).

525

526 Given this spread among the models in their response to the NAO forcing, particularly for  
527 temperature, we compute a multi-model mean response to the NAO forcing, and show this in Figure  
528 16. We see a well-defined and coherent AMOC response to the NAO forcing, with a response  
529 amplitude of approximately 2 Sv (corresponding to a 1 standard deviation change in the NAO). This  
530 corresponds to an approximately 0.2K amplitude response of extratropical NH mean surface air  
531 temperature to a one standard deviation change in the NAO. For a 50-year timescale of forcing, this  
532 implies a trend in extratropical hemispheric temperature of 0.4K/25 years.

533

## 534 **7. Summary and Discussion**

535

536 This work systematically explores the impact of interannual to centennial scale variations in the NAO  
537 on the climate system through the effect of NAO-related surface heat fluxes on the ocean. The large-  
538 scale climatic impacts arise through NAO-induced changes to the ocean that in turn modify the rest of  
539 the climate system. We have conducted suites of experiments with multiple climate models in which  
540 we artificially impose extra heat flux anomalies on the model ocean in the North Atlantic. The heat  
541 flux anomalies have the spatial structure of the NAO, but are modified such that their areal integral is  
542 zero, meaning that there is no net addition of heat to the coupled system.

543

544 In its positive phase, NAO fluxes remove heat more heat than usual from the ocean in the subpolar  
545 and subtropical North Atlantic, while removing less heat than usual from the western Atlantic and  
546 eastern Nordic Seas. The enhanced removal of heat from the subpolar gyre and Labrador Sea  
547 increases near-surface density and mixed layer depths, thereby enhancing deepwater formation and  
548 horizontal density gradients, leading to an enhanced AMOC and associated poleward oceanic heat  
549 transport (Danabasoglu et al. 2012a). By conducting simulations with multiple models in which the  
550 NAO-related fluxes are instantaneously "switched-on" and maintained indefinitely in a positive NAO  
551 phase, we find that there is an approximate decadal-scale adjustment process in which the AMOC  
552 strengthens.

553

554 We conduct suites of experiments in which we subject the model to sinusoidally varying NAO-related  
555 fluxes, similar to Visbeck et al (1998). In simulations with NAO forcing periods ranging from 2 to 100  
556 years, we see that the model AMOC has very little response to forcing at time scales shorter than a



557 decade or so. The adjustment processes by which the AMOC responds to NAO forcing take of order a  
558 decade, so that forcing on shorter timescales is not able to significantly influence the AMOC. At longer  
559 timescales the AMOC varies largely in phase with the forcing, although exhibiting some preference for  
560 forcing close to the dominant timescale of internal variability (approximately 15-20 years for the  
561 CM2.1 model, and longer for the FLOR and CM3 models). The amplitude of the AMOC variations are  
562 largely independent of the timescale of forcing for timescales longer than 20 years.

563  
564 The response to NAO-like atmospheric forcing has previously been studied in ocean-only models  
565 (Visbeck et al. 1998; Eden and Willebrand 2001; Eden and Jung 2001; Lohmann et al. 2009; Zhai et al.  
566 2014) and ocean reanalyses (Huang et al. 2012), and an approximate 5-10 year timescale of the  
567 ocean's response to the NAO has also been shown. While past studies on this topic have primarily  
568 used ocean-only models, we have employed here a fully coupled ocean-atmosphere model to explore  
569 the impact of the simulated ocean changes back onto the rest of the climate system. This lagged  
570 response of the AMOC to variations of the NAO is important for interpreting the AMOC response to  
571 anthropogenic forcing (Delworth and Dixon 2000), and is an important physical underpinning for  
572 decadal prediction (Yeager et al. 2012; Yeager and Danabasoglu 2014; Hermanson et al. 2014).

573  
574 The large-scale climatic response to NAO-induced AMOC variations is assessed as a function of  
575 timescale. While the amplitude of AMOC variations does not vary much as the timescale of forcing is  
576 increased from 20 years to 100 years, the amplitude of the large-scale climatic response increases  
577 substantially. At longer time scales the time-integral of the ocean heat transport variations increases,  
578 since the ocean heat transport is above (or below) normal for longer periods of time, leading to larger  
579 climatic impacts. These impacts include larger reductions (or increases) of sea ice and snow cover,

580 which in turn increase (reduce) the impacts of albedo feedback, leading to further amplification. For  
581 example, the response of NH extratropical surface air temperature to NAO variations of the same  
582 amplitude is three times larger for the case of forcing at 100 years than at 20 years. This dependence  
583 may be a crucial factor in assessing the impact of NAO-induced AMOC variations on past climates.  
584 Similar amplification can be seen in the NAO-induced AMOC impacts on Arctic sea ice and large-scale  
585 atmospheric circulation, including changes in tropical atmospheric circulation of relevance for  
586 tropical storms.

587

588 We perform identical experiments with three different models in order to test the robustness of the  
589 results. We find that the primary results are robust across the models, although details of the  
590 amplitudes of the response can vary.

591

592 These simulations focus on the response to NAO-induced surface heat flux anomalies. Preliminary  
593 experiments showed that heat flux forcing was the dominant term influencing AMOC variability at  
594 longer time scales in these models. However, it should be noted that these models all use a relatively  
595 coarse ocean model, with horizontal resolution of approximately 1°. The response of a model with  
596 much finer resolution and more energetic flows could be quite different, with a potentially larger  
597 sensitivity to momentum fluxes. This is especially relevant in light of the observed AMOC weakening  
598 associated with anomalous winds in 2009-2010 (Roberts et al. 2013).

599

600 We speculate that the biases of the models used in this study will likely have some impact on their  
601 estimate of NAO-induced AMOC variability and its climatic impact (Menary et al. 2015). For example,  
602 the FLOR model has a tendency for excessive sea ice in portions of the Northern Atlantic and adjacent

603 regions, especially in the Barents Sea. This could overestimate the impact of ice-albedo feedbacks.  
604 Similarly the albedos associated with sea ice and snow cover on sea ice in CM2.1 are perhaps on the  
605 lower end of observational estimates, thereby potentially underestimating ice-albedo feedbacks in  
606 CM2.1. Simulated NH summer sea ice in CM2.1 is also less than observed (Delworth et al. 2006),  
607 potentially reducing ice-albedo feedbacks. In addition, other biases, such as the displacement of the  
608 Gulf Stream and the lack of intense boundary currents and frontal zones, could also have some impact  
609 on the overall estimates of variability and the sensitivity to NAO-induced AMOC variability. Recent  
610 work (Vecchi et al. 2014b) has shown that reducing biases in the tropical Pacific is associated with an  
611 improved simulation of ENSO, providing support to the idea that reducing model biases could lead to  
612 improved simulation of variability.

613  
614 The amplitude of the NAO forcing used in the present study is comparable to the interdecadal-scale  
615 variations observed in the NAO over the last century. The amplitude of the forcing used here  
616 corresponds to one standard deviation (a value of 1.9) of the NAO station index time series (NAO  
617 station data from [https://climatedataguide.ucar.edu/climate-data/hurrell-north-atlantic-oscillation-  
618 nao-index-station-based](https://climatedataguide.ucar.edu/climate-data/hurrell-north-atlantic-oscillation-nao-index-station-based)). In the above observed NAO data set, the NAO was greater than 2.0 in the  
619 early 20<sup>th</sup> century, declined to less than -2.0 in the late 1960s, and increased to values greater than 2.0  
620 in the 1990s. These observed interdecadal swings are comparable to the amplitude of the NAO index  
621 changes applied in this study. This suggests that variations of the NAO could have a significant impact  
622 on the AMOC and large-scale climate over the last century, including sea level in the western North  
623 Atlantic. For example, in our simulations a swing of the NAO corresponding to two standard  
624 deviations can alter NH extratropical surface air temperature by approximately 0.4K. On sufficiently

625 long time scales this swing would also lead to substantial changes in tropical Atlantic atmospheric  
626 circulation of relevance for tropical storm formation.

627

628 One interesting aspect is that the impact of AMOC fluctuations is dependent to some extent on albedo  
629 feedback. This suggests that as the climate system warms in response to increasing greenhouse gases  
630 and Arctic sea ice and snow cover diminishes, the climatic impact of AMOC fluctuations would also be  
631 reduced. Conversely, in colder climates with more extensive sea ice, these effects could be larger.

632

633 An important aspect of the present study is that we have employed an idealized representation of the  
634 flux forcing associated with the NAO, treating the NAO as a static spatial pattern. In reality the spatial  
635 characteristics of the NAO vary over time (Hurrell and Deser 2009; Moore et al. 2013), and this could  
636 complicate the interpretation offered by our idealized framework.

637

638 The results of this study are of course limited by the fidelity of the models employed, particularly in  
639 terms of the ocean component. The models are unable to resolve oceanic mesoscale eddies or the  
640 effects of deep overflows, such as over the sills between Greenland and Iceland, or near the Faroe  
641 Banks. The model ocean itself has relatively large viscosity, resulting in weak boundary currents. In  
642 addition, the impacts of intense cyclones and their influence on air-sea interactions is missing.

643 Nevertheless, it is likely that these models capture important relationships between the NAO, the  
644 AMOC, and larger-scale climate. These relationships are strongly influenced by oceanic adjustment to  
645 sustained changes in the NAO, involving changes in the AMOC and oceanic heat transport, and their  
646 subsequent influence on the atmosphere, including radiative feedback processes.

647

648 Acknowledgements

649 We wish to thank Drs. Alistair Adcroft , Yohan Ruprich-Robert, and Liping Zhang for very helpful  
650 comments on an earlier version of this manuscript.

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652

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811

## 812 **Figures**

813 Figure 1 Spatial pattern of the heat flux anomalies ( $\text{W m}^{-2}$ ) used as anomalous flux forcings in the  
814 model experiments. Negative values mean a flux of heat from the ocean to the atmosphere. The fluxes  
815 in (a) are derived from the ECMWF-Interim reanalysis, and are the mean fluxes over Dec-March that  
816 correspond to a one standard deviation anomaly of the North Atlantic Oscillation. The fluxes in (b) are

817 from a long control simulation of the CM2.1 model, and also correspond to a one standard deviation  
818 anomaly of the North Atlantic Oscillation.

819

820 Figure 2 (a)-(c) Streamfunction of zonal mean Atlantic ocean circulation from various model (units  
821 are Sverdrups;  $Sv = 10^6 \text{ m}^3\text{s}^{-1}$ ), denoting the mean AMOC in each model. (a) CM2.1, (b) FLOR, and (c)  
822 CM3. The flow is along the lines of the streamfunction, with the flow speed proportional to the  
823 gradient of the streamfunction. Flow is clockwise around a streamfunction maximum in the latitude-  
824 depth plane. (d) Time series of the AMOC index from each simulation, calculated as the maximum  
825 value of the streamfunction each year over  $20^\circ\text{N}$ - $65^\circ\text{N}$ . Black is CM2.1, red is FLOR, and green is CM3.  
826 (e) Spectra of the time series of AMOC amplitude in the three models. Black indicates CM2.1, red  
827 indicates FLOR, and green indicates CM3. For each model the thick line represents the spectral  
828 estimates, the thin solid line is a red noise (first order Markov process) spectrum fitted to the model  
829 spectrum, and the dashed lines represent 95% confidence interval above the red noise spectrum. The  
830 units are frequency along the bottom x-axis ( $\text{cycles yr}^{-1}$ ) and period in years along the top x-axis. The  
831 units along the y-axis are spectral density.

832

833 Figure 3 Ensemble mean response of the AMOC in the CM2.1 model to the switch-on of NAO-related  
834 surface heat fluxes in the North Atlantic. The NAO fluxes are switched on at time 0. The quantity  
835 plotted is the maximum streamfunction at  $45^\circ\text{N}$  in the experiment with the NAO forcing minus the  
836 control simulation.

837

838 Figure 4 Adjustment of the North Atlantic in CM2.1 to a sudden switch on of heat flux anomaly  
839 corresponding to a one standard deviation increase of the North Atlantic Oscillation. Top row:

840 Climatological mean fields for various quantities as noted by labels at the top of each column. Rows 2-  
841 5: anomalies at various times after the switch on of the NAO heat flux. The time is shown on the right,  
842 and indicates how much time has passed since the switch on of the NAO-related heat flux forcing. The  
843 variables are listed along the top, so that each column corresponds to one variable. Units: mixed layer  
844 depth in m., AMOC in Sverdrups, heat transport in units of  $10^{13}W$ , SST in  $^{\circ}C$ , and sea surface salinity  
845 (SSS) in Practical Salinity Units (PSU).

846  
847 Figure 5 Time series of AMOC index (defined as the maximum streamfunction value each year over  
848 the domain  $20^{\circ}N-65^{\circ}N$ ) for various experiments using the CM2.1 model. The red curve in each panel  
849 shows values from the reference control simulation, calculated as the ensemble mean over ten  
850 segments of the control simulation that correspond to the ten ensemble members of the perturbation  
851 experiments. (a) Black (blue) curve shows 10-member ensemble mean AMOC from simulations with  
852 NAO forcing at a timescale of 2 (5) years. (b) Black (blue) curve shows 10-member ensemble mean  
853 AMOC from simulations with NAO forcing at a timescale of 10 (20) years. (c) Black (blue) curve shows  
854 10-member ensemble mean AMOC from simulations with NAO forcing at a timescale of 50 (100)  
855 years.

856  
857 Figure 6 (a) Each circle represents the standard deviation of the ensemble mean AMOC time series  
858 from a perturbation experiment using NAO forcing at a particular timescale. The values along the y-  
859 axis indicate the value of the standard deviation, while the values along the x-axis indicate the  
860 timescale (in years) of the NAO forcing for each experiment. (b) Same as (a) for meridional ocean heat  
861 transport at  $23^{\circ}N$  (summed over all longitudes, units are  $10^{15} W$ ). (c) Same as (a) for annual mean  
862 surface air temperature (units are K) averaged over all points poleward of  $23^{\circ}N$ . (d) Same as (a) for

863 annual mean sea ice thickness averaged over all points poleward of 55°N, units are cm. (e) Same as (a)  
864 for air-sea surface heat flux averaged over all points poleward of 23°N, units are  $W m^{-2}$ . (f) Same as  
865 (a) for net upward shortwave radiation at the top of the atmosphere, averaged over all points  
866 poleward of 23°N, units are  $W m^{-2}$ .

867  
868 Figure 7 Time series of various quantities in model simulations driven by a periodic NAO heat flux  
869 forcing. In each panel we show the results from a 20-year timescale NAO forcing experiment (black)  
870 and a 100-year timescale NAO forcing (red). Each time series is the 10-member ensemble mean of the  
871 NAO forced experiment minus the corresponding control simulation. The 20-year (100-year) forcing  
872 experiments are 100 (200) years in duration. (a) AMOC index, units are Sv. (b) Meridional ocean heat  
873 transport at 23°N, units are  $10^{15} W$ . (c) Surface air temperature, averaged over all points poleward of  
874 23°N, units are K. (d) Annual mean sea ice thickness, averaged over all points poleward of 55°N, units  
875 are cm. (e) Annual mean net upward shortwave radiation at the top of the atmosphere ( $W m^{-2}$ ),  
876 averaged over all points poleward of 23°N. (f) Ocean-atmosphere heat flux ( $W m^{-2}$ ), averaged over all  
877 points poleward of 23°N.

878  
879 Figure 8 (a) Regression of time series of imposed NAO forcing versus itself. (b) Regression of changes  
880 in oceanic (Atlantic only) and atmospheric (all longitudes) heat transport at 50°N versus the NAO  
881 forcing. Time is along the x-axis in years. Negative (positive) values indicate years before (after) the  
882 imposed NAO maximum. Units are  $10^{14} J$  (a value of +1.0 would indicate an enhanced poleward  
883 oceanic heat transport of  $10^{14} J$ ). Thick red line is for total poleward oceanic heat transport, thin red  
884 line for the meridional overturning component (moc) of the transport, and dashed line for the gyre  
885 component of the transport. Blue line is poleward atmospheric heat transport at 50°N. (c) Regression

886 of changes in net incoming radiation at the top of the atmosphere versus the NAO time series,  
887 integrated over all regions poleward of 50°N. Positive values indicate an increase in the flux of  
888 radiation from space to Earth (ie, a heating of the climate system). Red denotes net shortwave  
889 radiation, blue denotes longwave radiation, black denotes the net radiative flux. Units are  $10^{14}$  J. For  
890 example, negative values of longwave radiation for years 0 to 30 after the NAO forcing indicate an  
891 increase of outgoing longwave radiation to space as a response to warming of the Northern  
892 Hemisphere associated with the increased poleward oceanic heat transport shown in (b).

893

894

895 Figure 9 Spatial patterns of simulated response to NAO-related surface heat flux anomalies. The  
896 responses are averaged over the months of Jan-Mar. Left (right) column shows results from  
897 simulations with 20-year (100-year) NAO forcing. Values plotted are regression coefficients of the  
898 various fields versus the imposed NAO time series, normalized to represent the response to a two  
899 standard deviation change in the NAO. Left column are results for a 20-year timescale of flux forcing,  
900 showing fields 7 years after maximum of imposed NAO flux forcing. The right column shows results  
901 for a 100-year timescale of flux forcing, plotted 13 years after maximum of imposed NAO flux forcing.

902

903 Figure 10 Same as Figure 9, but for sea ice thickness. Units are meters per two standard deviation  
904 NAO forcing.

905

906

907

908 Figure 11 Spatial patterns of simulated response to an increase in the AMOC induced by NAO-related  
909 surface heat flux anomalies. The responses are averaged over the months of Jul-Sep. Left (right)  
910 column shows results from simulations with 20-year (100-year) NAO forcing. Values plotted are  
911 regression coefficients of the various fields versus the time series of the heat flux forcing; these are  
912 normalized to represent the response to a two standard deviation change in the NAO-induced fluxes.  
913 Left column are results for a 20-year timescale of flux forcing, showing fields 7 years after maximum  
914 of imposed NAO flux forcing. The right column shows results for a 100-year timescale of flux forcing,  
915 plotted 13 years after maximum of imposed NAO flux forcing. The vertical shear of the zonal wind  
916 (bottom row) is calculated as the zonal wind at 250 hPa minus the zonal wind at 850 hPa.

917  
918 Figure 12 Same as Figure 11, but for sea ice thickness. Units are meters per two standard deviation  
919 NAO forcing.

920  
921  
922 Figure 13 Regression of annual mean sea level height anomaly versus the time series of NAO forcing,  
923 expressed as the difference in cm between a positive one standard deviation NAO forcing and a  
924 negative one standard deviation NAO forcing. For both cases the maps are representative of  
925 conditions 6 years after the maximum NAO flux forcing. (a) Case with NAO-forcing at 20 years. (b)  
926 Case with NAO-forcing at 100 years.

927  
928 Figure 14 Response of AMOC (left column) and zonally averaged surface air temperature (right  
929 column) to sudden switch on of NAO related heat flux forcing. Top row is from CM2.1, middle row

930 from FLOR, and bottom row from CM3. Units are Sv for AMOC changes, and K for temperature  
931 changes. Time is listed along the x-axis in years, latitude is on the y-axis.

932

933 Figure 15 Response of AMOC (left column) and zonally averaged surface air temperature (right  
934 column) to sinusoidal NAO heat flux forcing with amplitude of one standard deviation of the NAO time  
935 series and period of 50 years. Top row is from CM2.1, middle row from FLOR, and bottom row from  
936 CM3. Units are Sv for AMOC changes, and K for temperature changes. Time is listed along the x-axis in  
937 years, and latitude along the y-axis.

938

939 Figure 16 Response to 50-year NAO heat flux forcing calculated as the ensemble mean response from  
940 CM2.1, FLOR, and CM3. We first calculate the ensemble mean using each model, and then compute the  
941 mean of those three ensemble means. Time is listed in years along the x-axis, indicating years since  
942 switching on the NAO-related heat fluxes. (a) AMOC response as a function of latitude and time, units  
943 are Sv. (b) NH mean surface air temperature response, averaged over the domain 23°N-90°N. Units  
944 are K.



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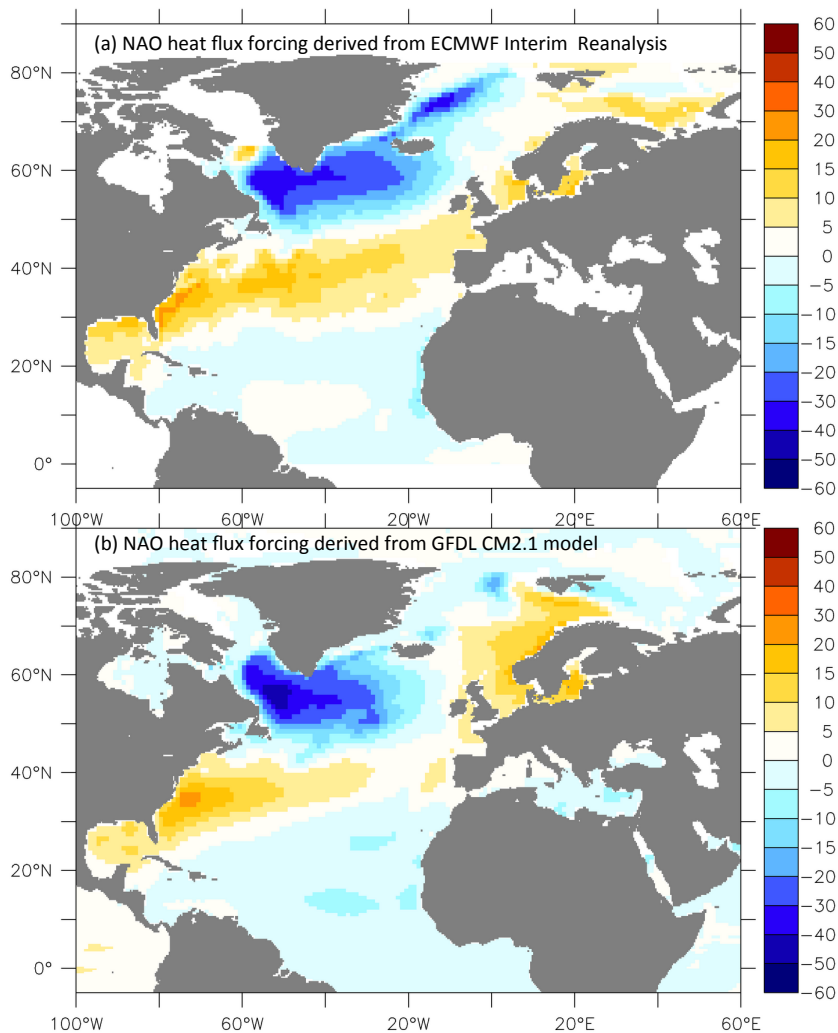


Figure 1 Spatial pattern of the heat flux anomalies ( $\text{W m}^{-2}$ ) used as anomalous flux forcings in the model experiments. Negative values mean a flux of heat from the ocean to the atmosphere. The fluxes in (a) are derived from the ECMWF-Interim reanalysis, and are the mean fluxes over Dec-March that correspond to a one standard deviation anomaly of the North Atlantic Oscillation. The fluxes in (b) are from a long control simulation of the CM2.1 model, and also correspond to a one standard deviation anomaly of the North Atlantic Oscillation.

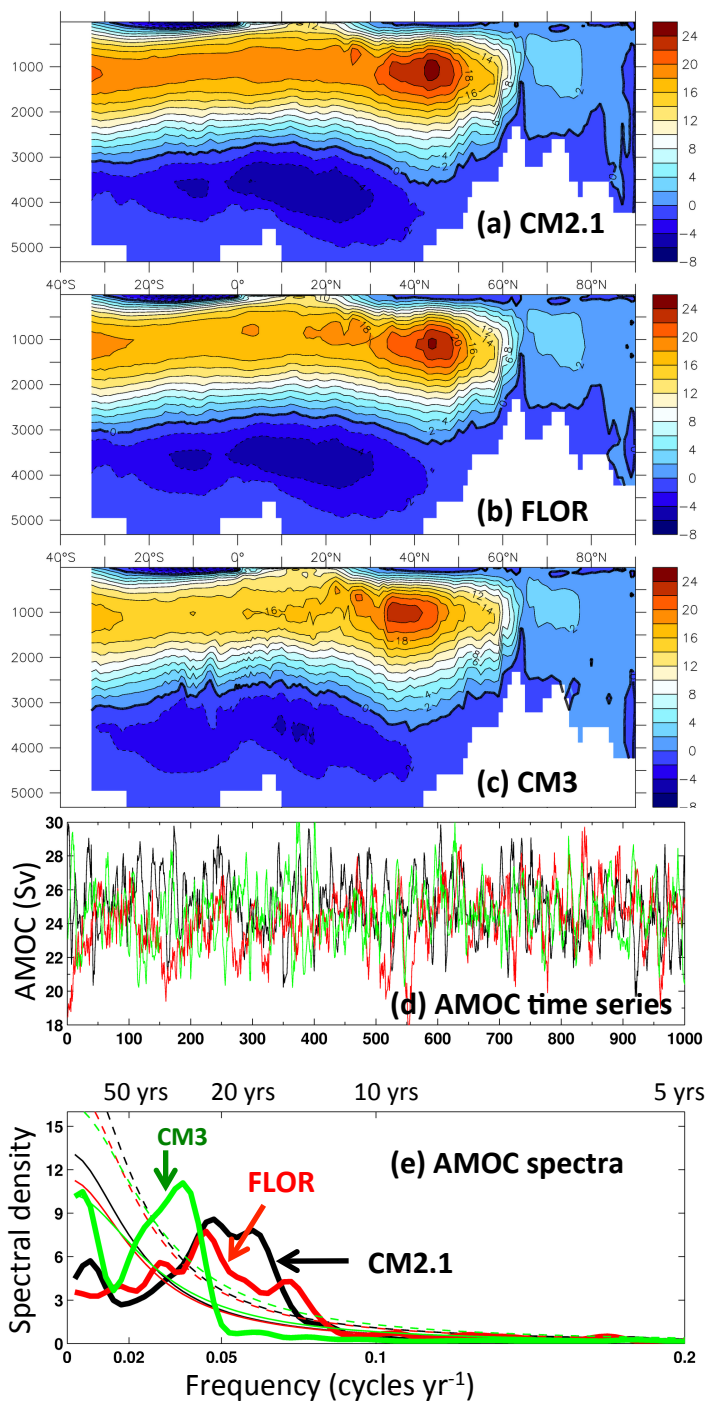


Figure 2 (a)-(c) Streamfunction of zonal mean Atlantic ocean circulation from various model (units are Sverdrups;  $\text{Sv} = 10^6 \text{ m}^3\text{s}^{-1}$ ), denoting the mean AMOC in each model. (a) CM2.1, (b) FLOR, and (c) CM3. The flow is along the lines of the streamfunction, with the flow speed proportional to the gradient of the streamfunction. Flow is clockwise around a streamfunction maximum in the latitude-depth plane. (d) Time series of the AMOC index from each simulation, calculated as the maximum value of the streamfunction each year over 20°N–65°N. Black is CM2.1, red is FLOR, and green is CM3. (e) Spectra of the time series of AMOC amplitude in the three models. Black indicates CM2.1, red indicates FLOR, and green indicates CM3. For each model the thick line represents the spectral estimates, the thin solid line is a red noise (first order Markov process) spectrum fitted to the model spectrum, and the dashed lines represent 95% confidence interval above the red noise spectrum. The units are frequency along the bottom x-axis ( $\text{cycles yr}^{-1}$ ) and period in years along the top x-axis. The units along the y-axis are spectral density.

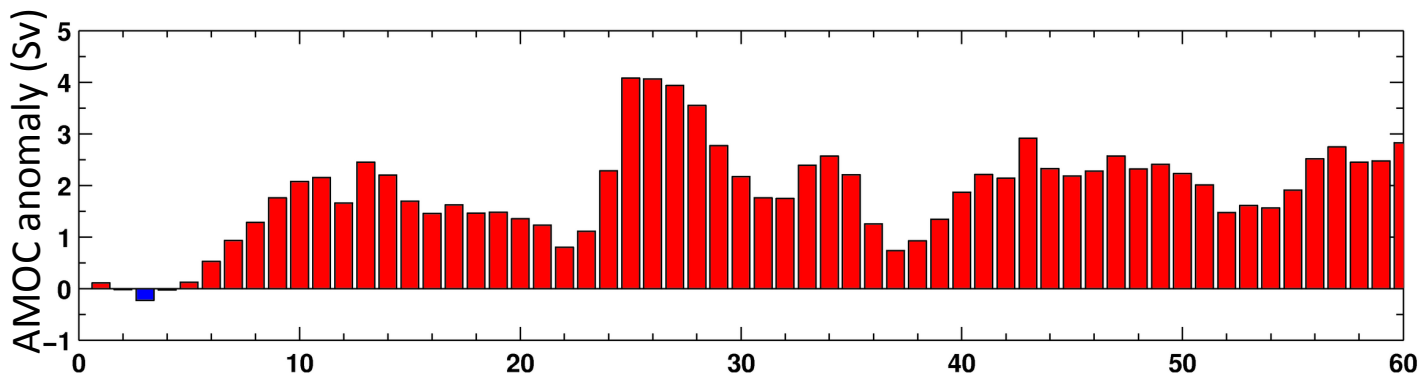


Figure 3 Ensemble mean response of the AMOC in the CM2.1 model to the switch-on of NAO-related surface heat fluxes in the North Atlantic. The NAO fluxes are switched on at time 0. The quantity plotted is the maximum streamfunction at 45°N in the experiment with the NAO forcing minus the control simulation.

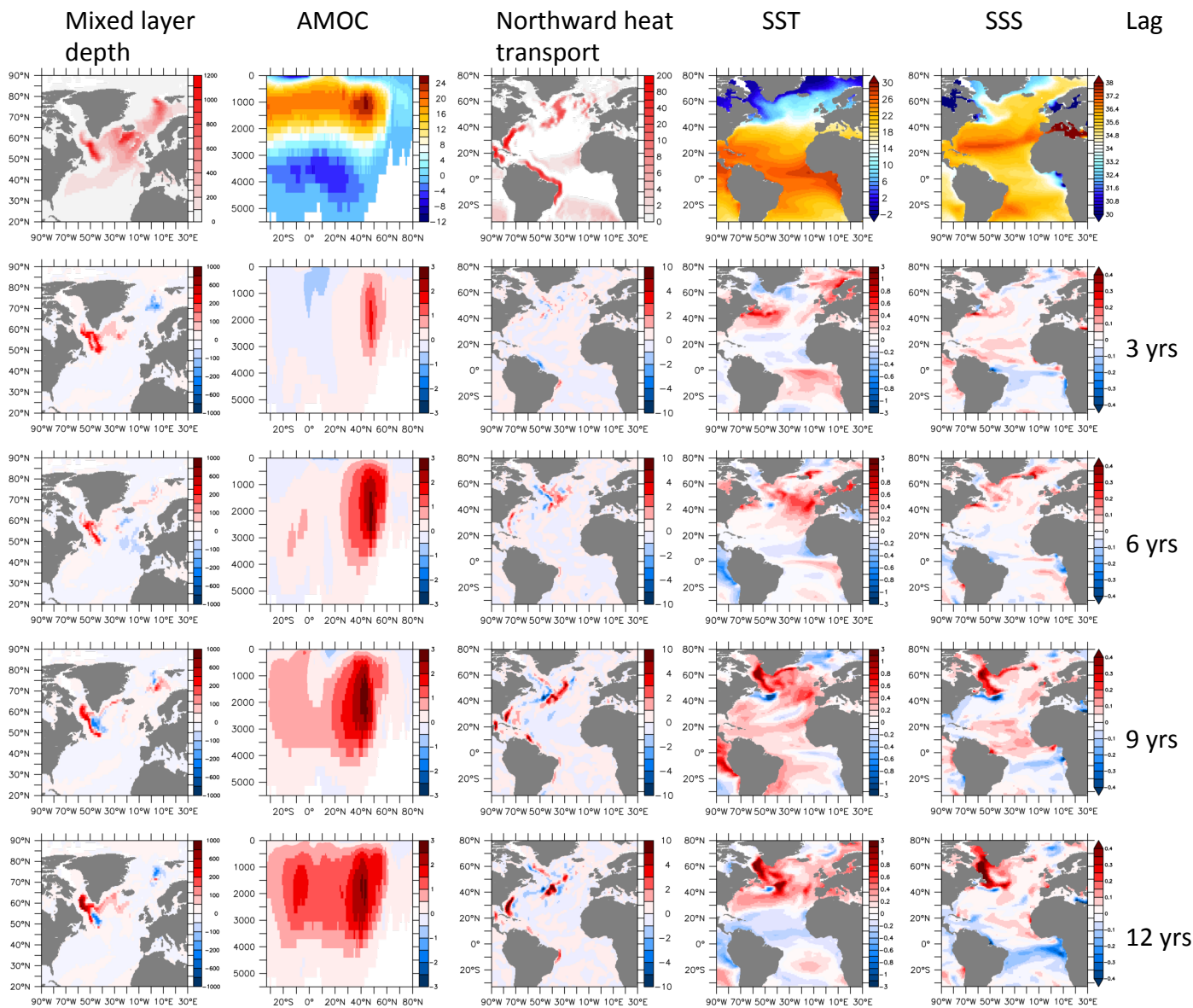


Figure 4 Adjustment of the North Atlantic in CM2.1 to a sudden switch on of heat flux anomaly corresponding to a one standard deviation increase of the North Atlantic Oscillation. Top row: Climatological mean fields for various quantities as noted by labels at the top of each column. Rows 2-5: anomalies at various times after the switch on of the NAO heat flux. The time is shown on the right, and indicates how much time has passed since the switch on of the NAO-related heat flux forcing. The variables are listed along the top, so that each column corresponds to one variable. Units: mixed layer depth in m., AMOC in Sverdrups, heat transport in units of  $10^{13}W$ , SST in  $^{\circ}C$ , and sea surface salinity (SSS) in Practical Salinity Units (PSU).

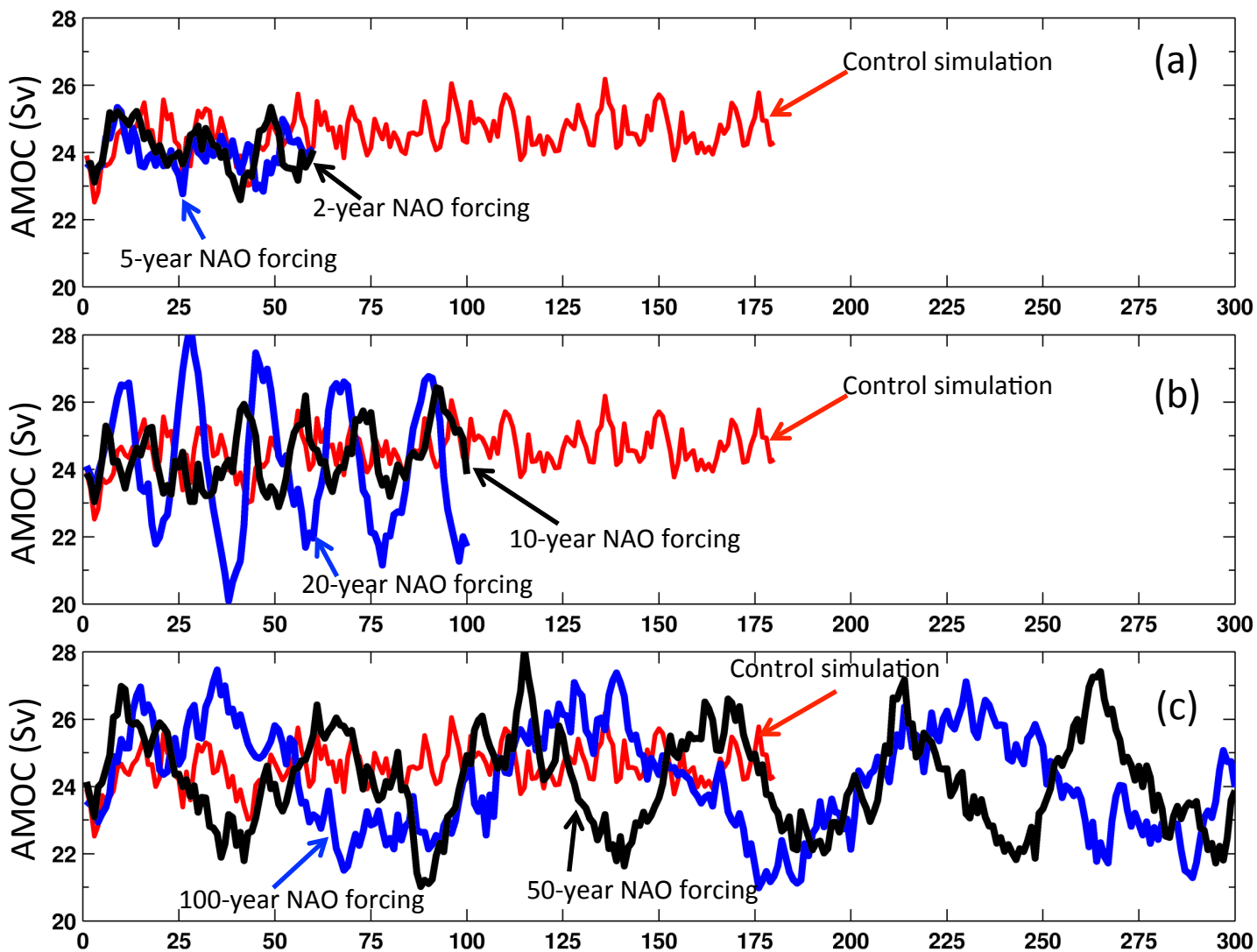


Figure 5 Time series of AMOC index (defined as the maximum streamfunction value each year over the domain 20°N-65°N) for various experiments using the CM2.1 model. The red curve in each panel shows values from the reference control simulation, calculated as the ensemble mean over ten segments of the control simulation that correspond to the ten ensemble members of the perturbation experiments. (a) Black (blue) curve shows 10-member ensemble mean AMOC from simulations with NAO forcing at a timescale of 2 (5) years. (b) Black (blue) curve shows 10-member ensemble mean AMOC from simulations with NAO forcing at a timescale of 10 (20) years. (c) Black (blue) curve shows 10-member ensemble mean AMOC from simulations with NAO forcing at a timescale of 50 (100) years.

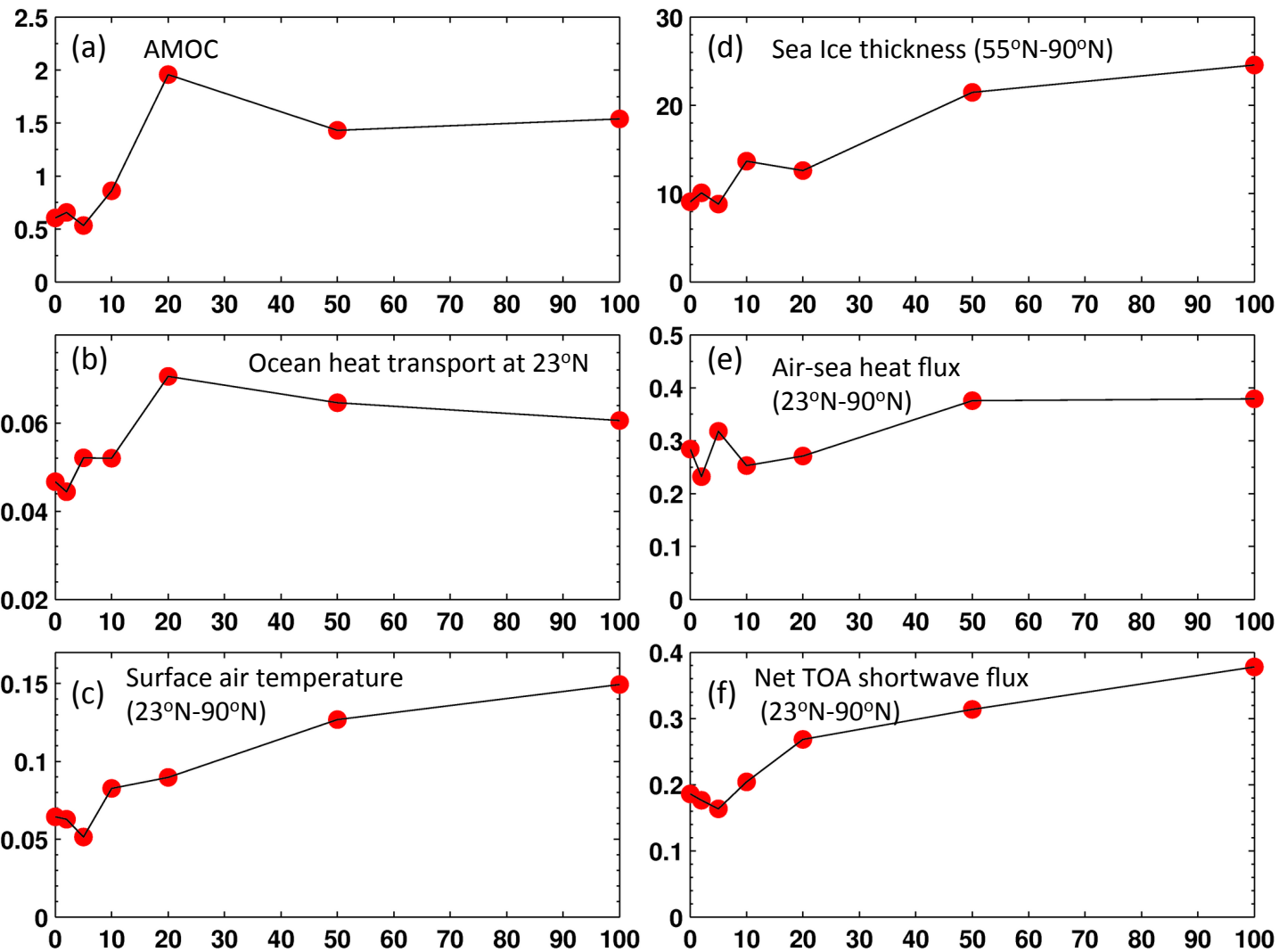


Figure 6 (a) Each circle represents the standard deviation of the ensemble mean AMOC time series from a perturbation experiment using NAO forcing at a particular timescale. The values along the y-axis indicate the value of the standard deviation, while the values along the x-axis indicate the timescale (in years) of the NAO forcing for each experiment. (b) Same as (a) for meridional ocean heat transport at 23°N (summed over all longitudes, units are  $10^{15}$  W). (c) Same as (a) for annual mean surface air temperature (units are K) averaged over all points poleward of 23°N. (d) Same as (a) for annual mean sea ice thickness averaged over all points poleward of 55°N, units are cm. (e) Same as (a) for air-sea surface heat flux averaged over all points poleward of 23°N, units are  $W m^{-2}$ . (f) Same as (a) for net upward shortwave radiation at the top of the atmosphere, averaged over all points poleward of 23°N, units are  $W m^{-2}$ .

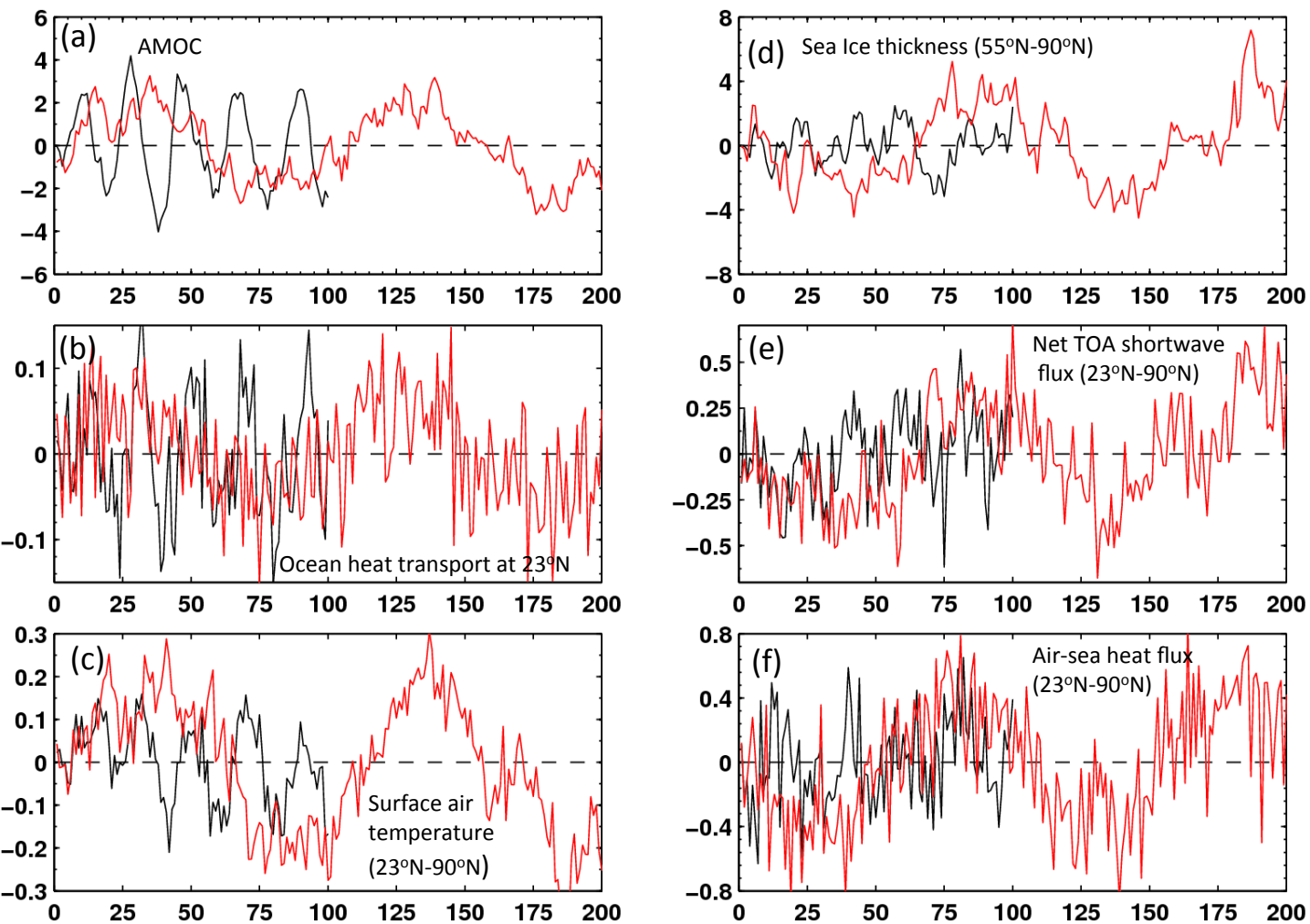


Figure 7 Time series of various quantities in model simulations driven by a periodic NAO heat flux forcing. In each panel we show the results from a 20-year timescale NAO forcing experiment (black) and a 100-year timescale NAO forcing (red). Each time series is the 10-member ensemble mean of the NAO forced experiment minus the corresponding control simulation. The 20-year (100-year) forcing experiments are 100 (300) years in duration. (a) AMOC index, units are Sv. (b) Meridional ocean heat transport at  $23^{\circ}\text{N}$ , units are  $10^{15}$  W. (c) Surface air temperature, averaged over all points poleward of  $23^{\circ}\text{N}$ , units are K. (d) Annual mean sea ice thickness, averaged over all points poleward of  $55^{\circ}\text{N}$ , units are cm. (e) Annual mean net upward shortwave radiation at the top of the atmosphere ( $\text{W m}^{-2}$ ), averaged over all points poleward of  $23^{\circ}\text{N}$ . (f) Ocean-atmosphere heat flux ( $\text{W m}^{-2}$ ), averaged over all points poleward of  $23^{\circ}\text{N}$ .



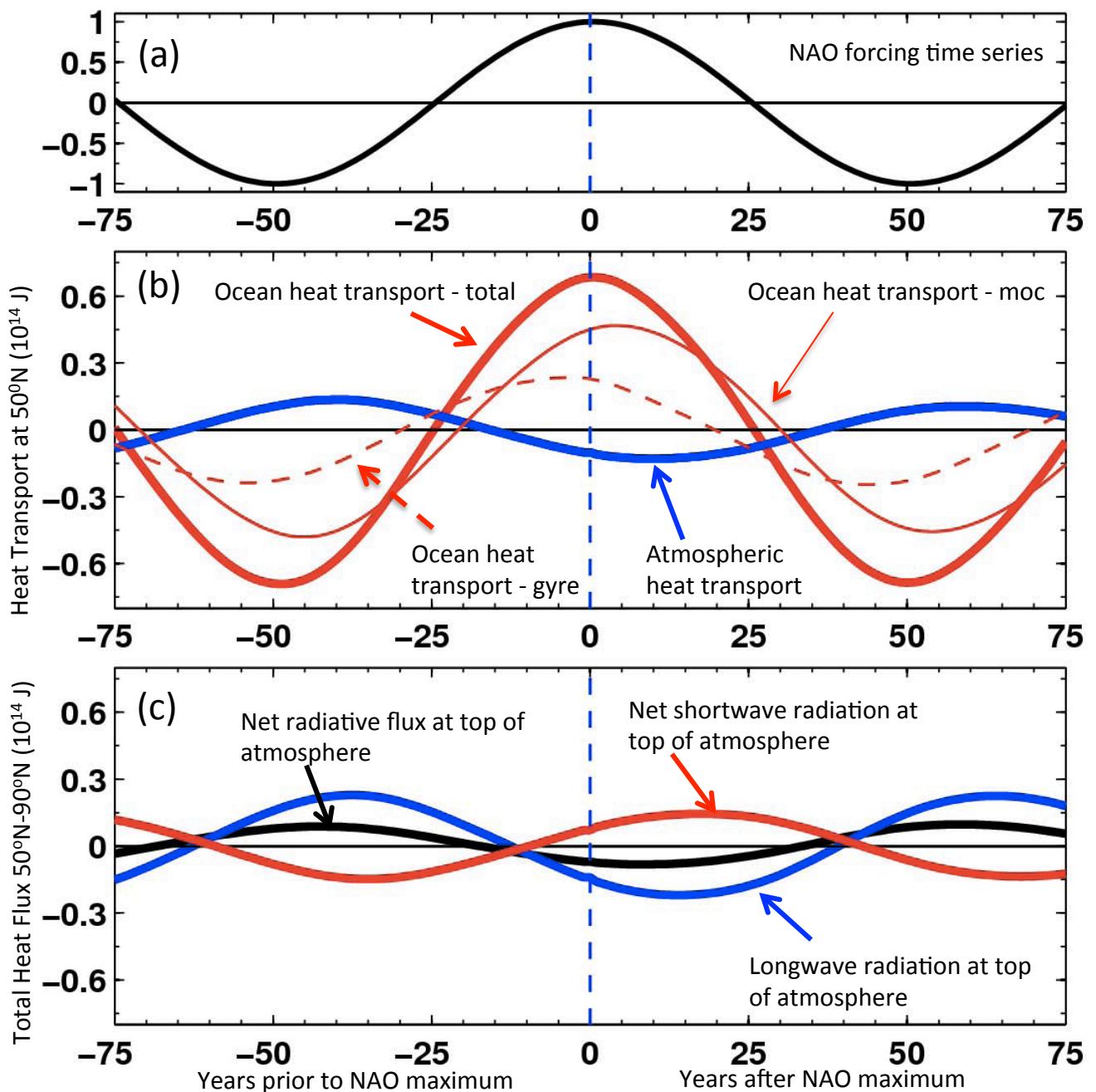


Figure 8 (a) Regression of time series of imposed NAO forcing versus itself. (b) Regression of changes in oceanic (Atlantic only) and atmospheric (all longitudes) heat transport at 50°N versus the NAO forcing. Time is along the x-axis in years. Negative (positive) values indicate years before (after) the imposed NAO maximum. Units are  $10^{14}$  J (a value of +1.0 would indicate an enhanced poleward oceanic heat transport of  $10^{14}$  J). Thick red line is for total poleward oceanic heat transport, thin red line for the meridional overturning component (moc) of the transport, and dashed line for the gyre component of the transport. Blue line is poleward atmospheric heat transport at 50°N. (c) Regression of changes in net incoming radiation at the top of the atmosphere versus the NAO time series, integrated over all regions poleward of 50°N. Positive values indicate an increase in the flux of radiation from space to Earth (ie, a heating of the climate system). Red denotes net shortwave radiation, blue denotes longwave radiation, black denotes the net radiative flux. Units are  $10^{14}$  J. For example, negative values of longwave radiation for years 0 to 30 after the NAO forcing indicate an increase of outgoing longwave radiation to space as a response to warming of the Northern Hemisphere associated with the increased poleward oceanic heat transport shown in (b).

### Results for Jan-Mar (JFM)

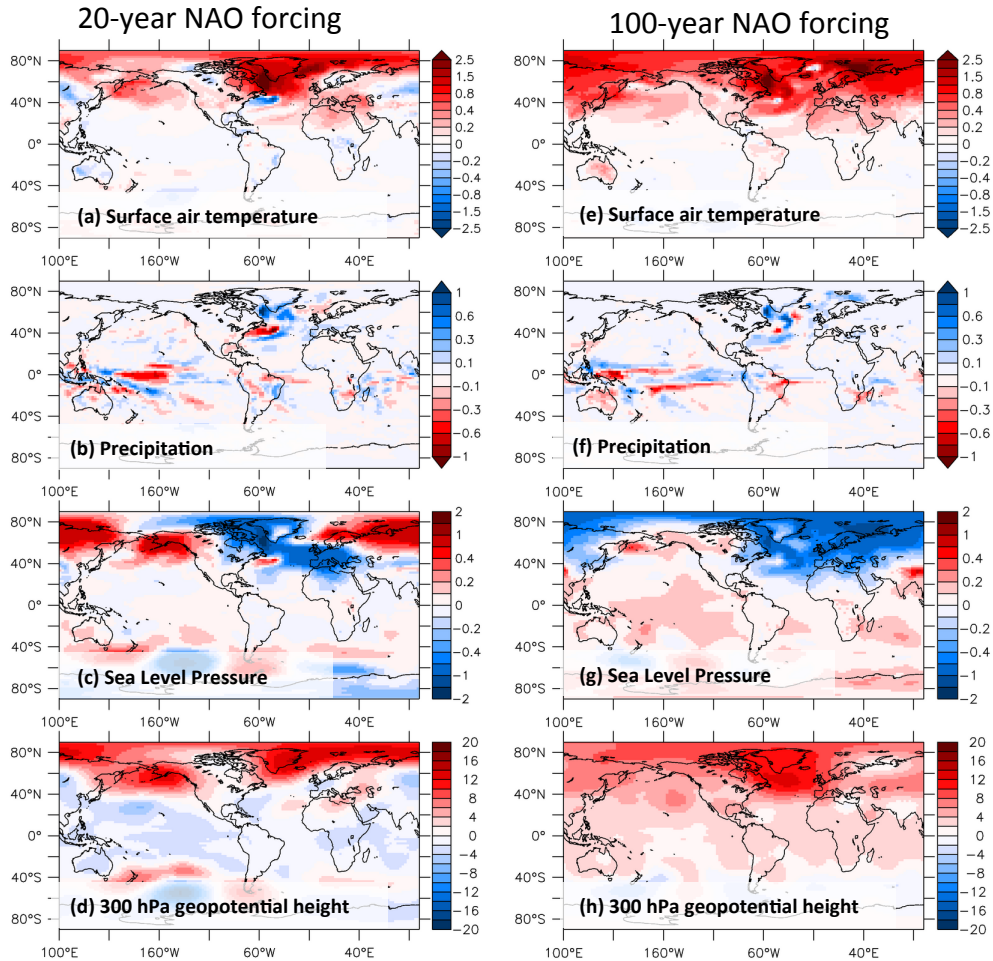


Figure 9 Spatial patterns of simulated response to an increase in the AMOC induced NAO-related surface heat flux anomalies. The responses are averaged over the months of Jan-Mar. Left (right) column shows results from simulations with 20-year (100-year) NAO forcing. Values plotted are regression coefficients of the various fields versus the imposed NAO time series, normalized to represent the response to a two standard deviation change in the NAO-induced fluxes. Left column are results for a 20-year timescale of flux forcing, showing fields 7 years after maximum of imposed NAO flux forcing. The right column shows results for a 100-year timescale of flux forcing, plotted 13 years after maximum of imposed NAO flux forcing.

Results for Jan-Mar (JFM)

20-year NAO forcing

100-year NAO forcing

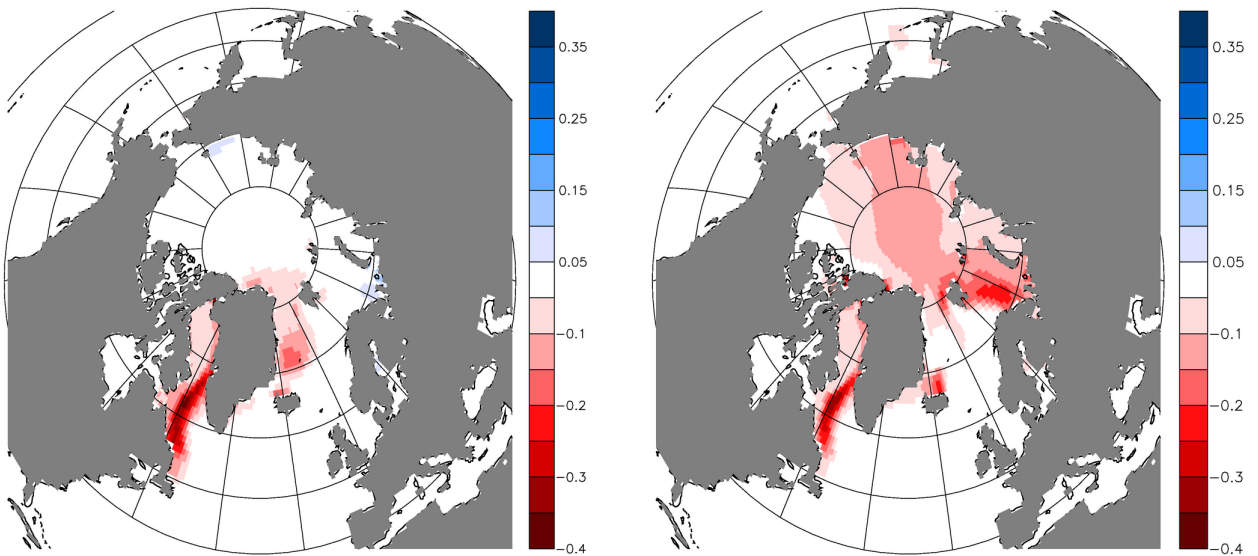


Figure 10 Same as Figure 9, but for sea ice thickness. Units are meters per two standard deviation NAO forcing.

## Results for Jul-Sep (JAS)

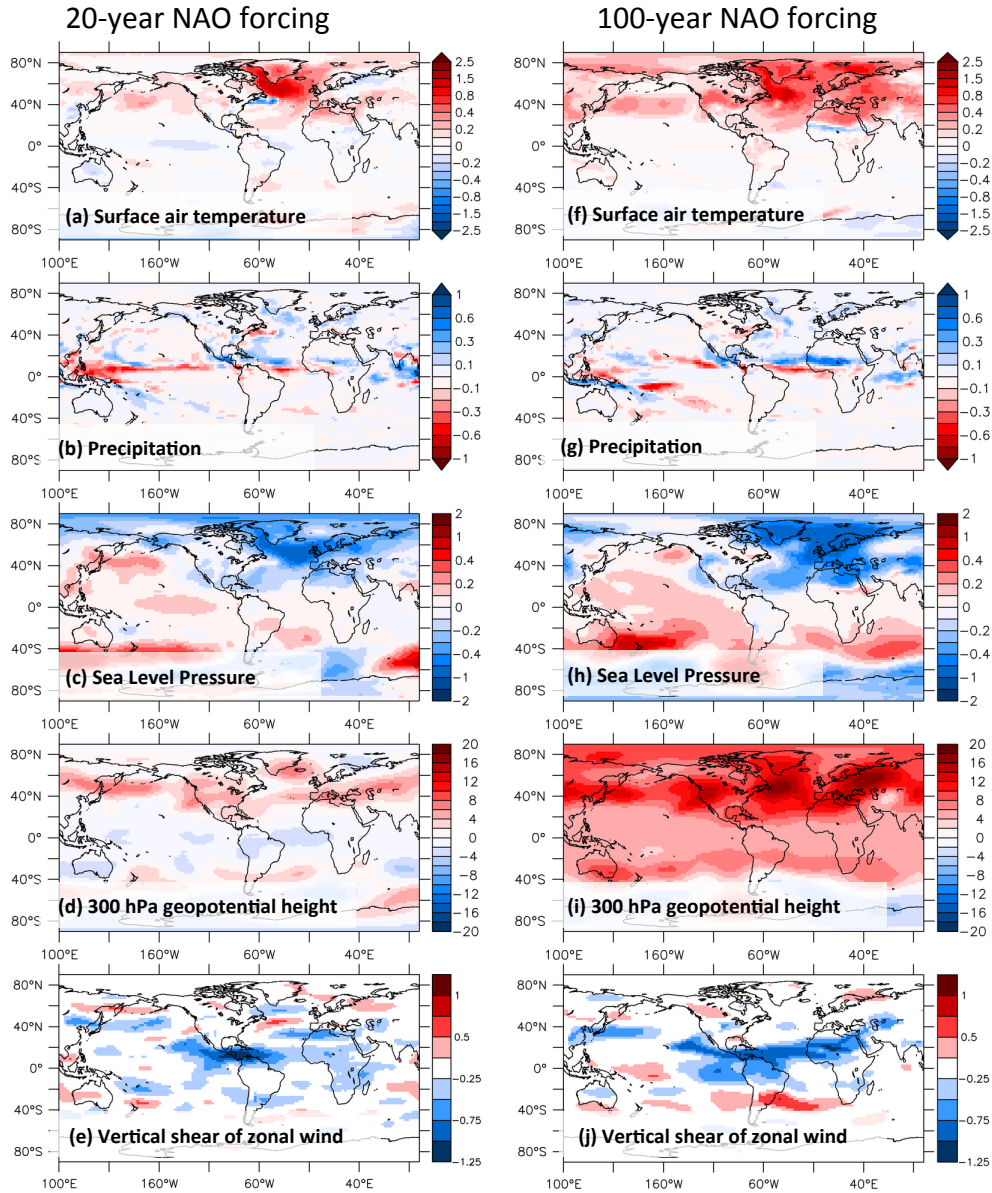
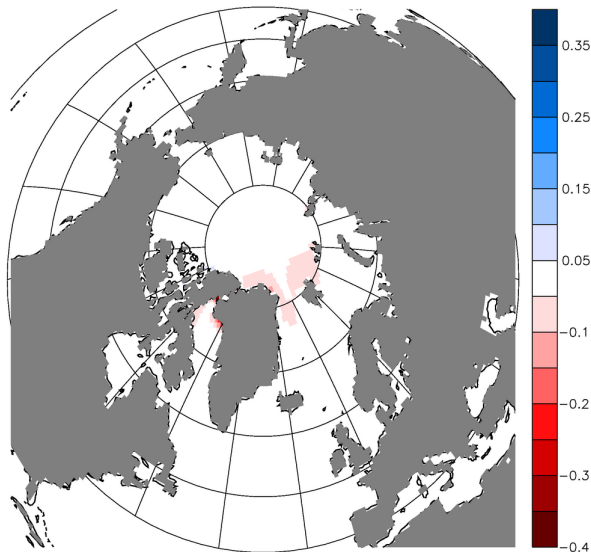


Figure 11 Spatial patterns of simulated response to an increase in the AMOC induced by NAO-related surface heat flux anomalies. The responses are averaged over the months of Jul-Sep. Left (right) column shows results from simulations with 20-year (100-year) NAO forcing. Values plotted are regression coefficients of the various fields versus the time series of the heat flux forcing; these are normalized to represent the response to a two standard deviation change in the NAO-induced fluxes. Left column are results for a 20-year timescale of flux forcing, showing fields 7 years after maximum of imposed NAO flux forcing. The right column shows results for a 100-year timescale of flux forcing, plotted 13 years after maximum of imposed NAO flux forcing. The vertical shear of the zonal wind (bottom row) is calculated as the zonal wind at 250 hPa minus the zonal wind at 850 hPa.

Results for Jul-Sep (JAS)

20-year NAO forcing



100-year NAO forcing

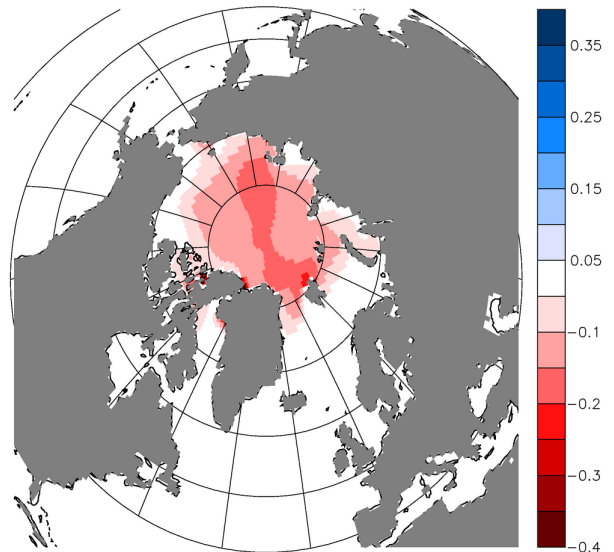


Figure 12 Same as Figure 11, but for sea ice thickness. Units are meters per two standard deviation NAO forcing.

20-year NAO forcing

100-year NAO forcing

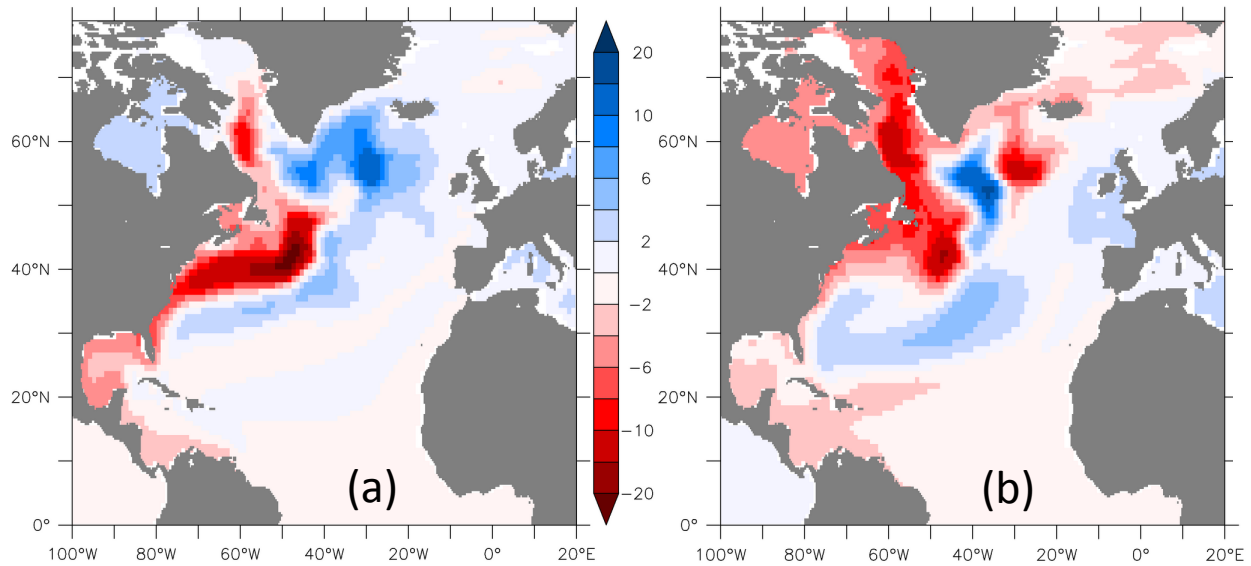


Figure 13 Regression of annual mean sea level height anomaly versus the time series of NAO forcing, expressed as the difference in cm between a positive one standard deviation NAO forcing and a negative one standard deviation NAO forcing. For both cases the maps are representative of conditions 6 years after the maximum NAO flux forcing. (a) Case with NAO-forcing at 20 years. (b) Case with NAO-forcing at 100 years.

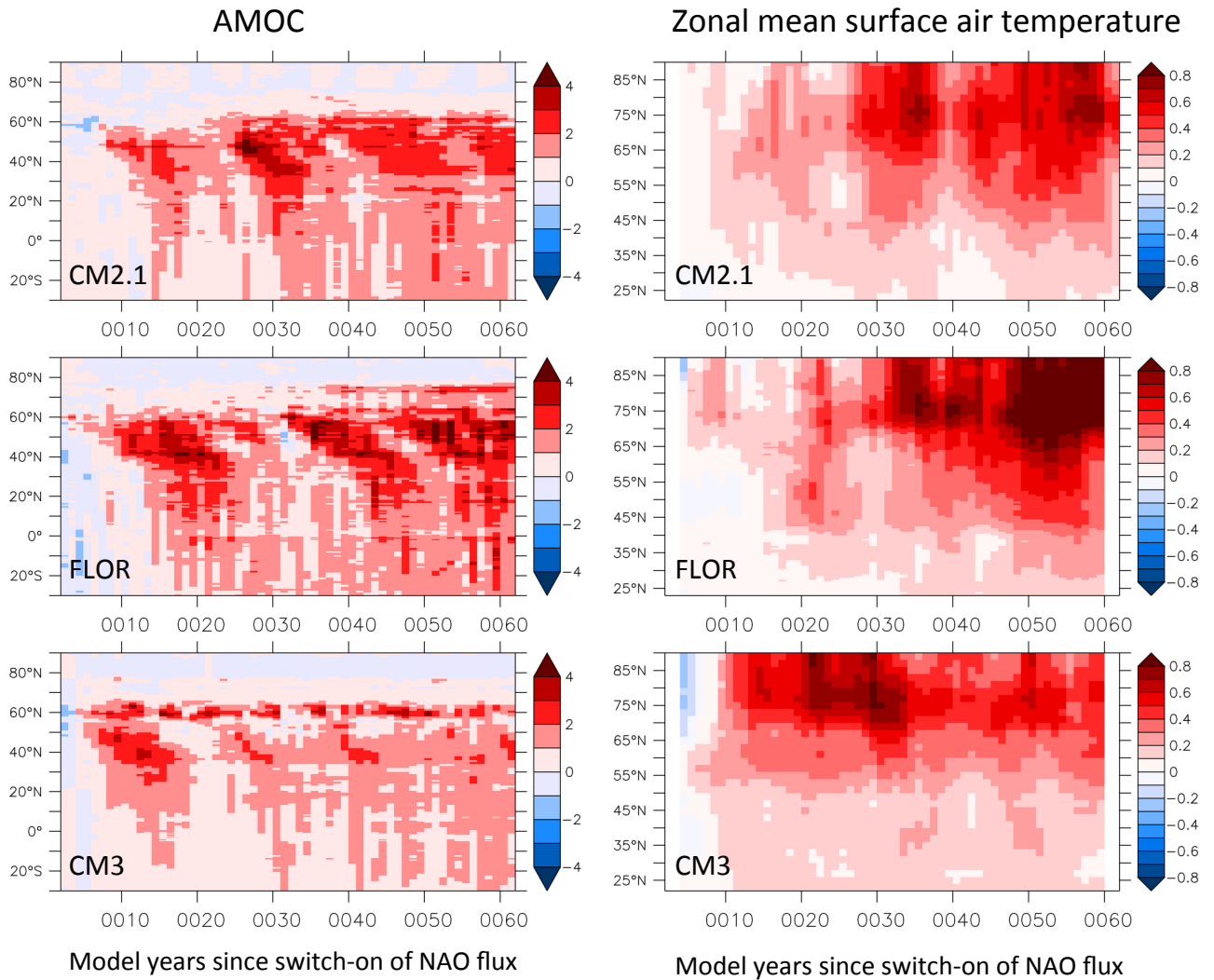


Figure 14 Response of AMOC (left column) and zonally averaged surface air temperature (right column) to sudden switch on of NAO related heat flux forcing. Top row is from CM2.1, middle row from FLOR, and bottom row from CM3. Units are Sv for AMOC changes, and K for temperature changes. Time is listed along the x-axis in years, latitude is on the y-axis.

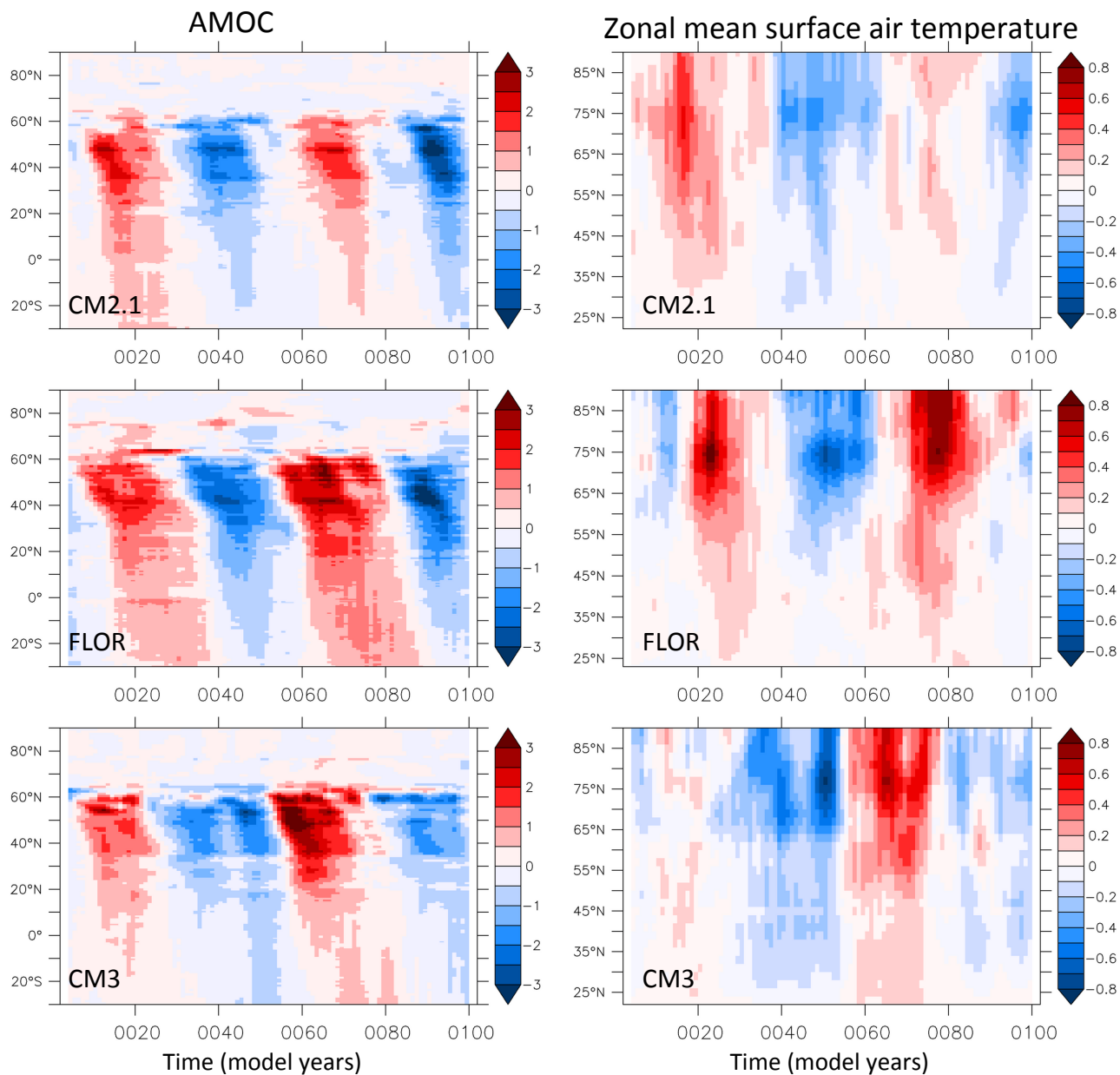


Figure 15 Response of AMOC (left column) and zonally averaged surface air temperature (right column) to sinusoidal NAO heat flux forcing with amplitude of one standard deviation of the NAO time series and period of 50 years. Top row is from CM2.1, middle row from FLOR, and bottom row from CM3. Units are Sv for AMOC changes, and K for temperature changes. Time is listed along the x-axis in years, and latitude along the y-axis.



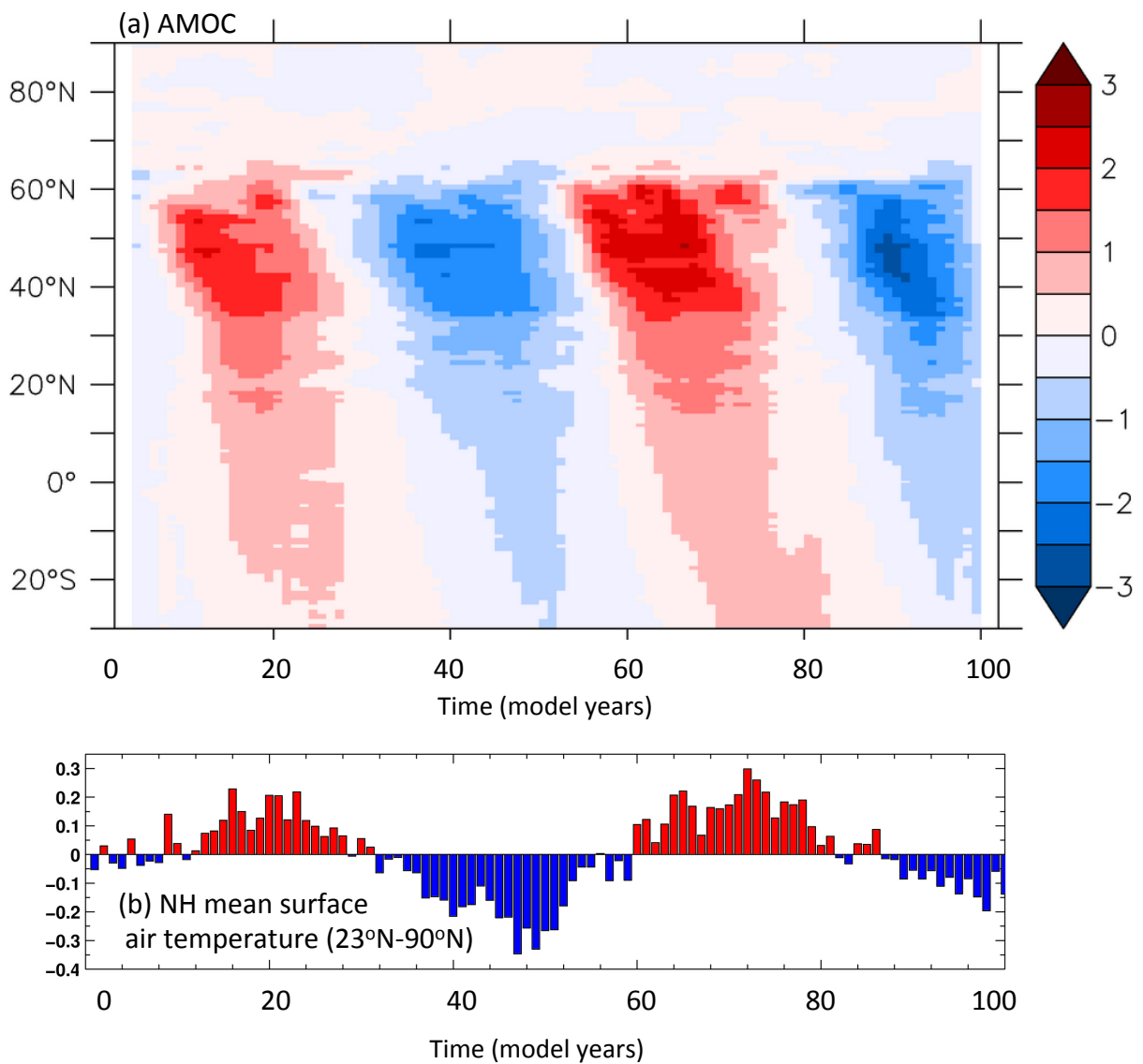


Figure 16 Response to 50-year NAO heat flux forcing calculated as the ensemble mean response from CM2.1, FLOR, and CM3. We first calculate the ensemble mean using each model, and then compute the mean of those three ensemble means. Time is listed in years along the x-axis, indicating years since switching on the NAO-related heat fluxes. (a) AMOC response as a function of latitude and time, units are Sv. (b) NH mean surface air temperature response, averaged over the domain 23°N-90°N. Units are K.